Peer Recovery Support Services in Correctional Settings





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Project Team

Haley McCrary, MPH Project Director Bureau of Justice Assistance (BJA) Comprehensive Opioid, Stimulant, and Substance Abuse Program (COSSAP) Training and Technical Assistance (TTA) Center on Peer Recovery Support Services Altarum

Erin Etwaroo, M.A., LPC Project Manager Altarum

Lauren Marshall, MPH, MPP Client Services Manager Altarum

Elizabeth Burden, M.S. Senior Advisor National Council for Mental Wellbeing

Matt St. Pierre Project Director National Council for Mental Wellbeing

Becky Berkebile Senior Program Associate Advocates for Human Potential

Lynn Miller, MHEd Senior Criminal Justice Associate Advocates for Human Potential

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Introduction

Incarcerated populations are both more likely to suffer from and be more vulnerable to mental health (MH) and substance use disorders (SUDs) and from violent and self-harm behaviors than the general population (American Academy of Family Physicians, n.d.). Eighty-five percent of incarcerated individuals were either struggling with active SUDs themselves or were under the influence of alcohol and/or drugs at the time of their crime (National Center on Addiction and Substance Abuse, 2010). Despite 1.5 million inmates meeting clinical criteria for SUD, only 168,000 received treatment (National Institute on Drug Abuse [NIDA)], 2020). Between 2004 and 2006, one-third of the 2.3 million persons incarcerated in the United States had a diagnosis of a mental illness, with roughly 25 percent experiencing a co-occurring SUD (NIDA, 2020).

During periods of transition, such as community reentry after incarceration, individuals with SUDs are vulnerable. Upon reentry, many individuals face barriers to reestablishing a healthy life outside of jail or prison, including inadequate access to health insurance, MH or SUD treatment, medical care, employment, and housing. Many individuals with SUDs return to their community and start using drugs again, not realizing they do not have the same tolerance they had before incarceration, which in turn can lead to an increased risk of overdose and death (Hanna et al., 2020) and a relapse-driven return to incarceration (NIDA, 2020). The risk of opioid overdose in the first 2 weeks following an individual's release from prison is 40 times higher than for the general population (Hanna et al., 2020).

Individuals who are incarcerated and living with SUDs face challenges both behind the walls and after release, particularly in transitioning back to the community. Separately, neither the correctional system nor the community behavioral health system can adequately meet their needs. Prison behavioral health staff members often lack the resources needed to address the emotional regulation, stress management, relapse, and overdose prevention needs of incarcerated persons or adequately prepare individuals for reentry into the diverse communities to which they return. Overburdened and under-resourced, community behavioral health systems, in turn, often lack the expertise and resources to address the unique needs of returning individuals confronting both SUD and readjustment to the community.

Peer support is a proven resource to address these demands in correctional and community settings to support recovery from SUD and MH conditions, prepare for release, and facilitate reentry. Peer support has proven to be effective for a range of emotional, informational, and instrumental supports; improved sense of wellbeing; and linkage to services for individuals in or seeking recovery from SUD and/or MH conditions (Bellamy, Schmutte, & Davidson, 2017; Bassuk et al., 2016; Reif et al., 2014). Peer support can be delivered while an individual is incarcerated and can follow the individual through release planning, reentry, and community supervision. Adding peer recovery specialists to existing multidisciplinary teams of correctional officers and staff, court staff, behavioral health clinicians, and social workers can reap both operational and fiscal benefits. Those benefits include successful community integration, connection to services, increased prosocial connections, and decreased recidivism (Bagnall et al., 2015; Taylor & Becker, 2015; Rowe et al., 2007).

In theory, adding peer support in correctional settings is as simple as hiring a peer specialist. In practice, it is more complex. There is an inherent tension between peer practice approaches and traditional correctional approaches. Peer support focuses on healing practices that are strengthsbased, holistic, trauma-informed, and person-centered. It can be challenging to foster these peer program attributes in a corrections environment, which is punitive by design, relies on control, and can induce or trigger trauma. While peer support can augment behavioral health services, it can easily be undermined and thwarted by the overarching correctional culture, policies, and procedures. Successful integration of peer recovery support services (PRSS) requires a thoughtful, deliberate approach. The purpose of this technical assistance (TA) package is to assist organizations in that approach. This document will:

- 1. Define peer support in correctional settings.
- 2. Describe the core competencies for peer support in correctional settings.
- 3. Highlight the use of peer support in short-term, medium-term, long-term, and community corrections settings to improve recovery and reentry outcomes.
- 4. Identify emerging and best practices for integrating peer support into correctional settings.
- 5. Provide recommendations for program design and implementation.

The document contains implementation tools, including a start-up checklist and sample job descriptions.

Defining Recovery

It is important to define the term recovery to understand the role PRSS can play in jails and prisons. The Substance Abuse and Mental Health Services Administration (SAMHSA) (2020b) defines recovery as "a process of change through which individuals improve their health and wellness, live self-directed lives, and strive to reach their full potential." SAMHSA also notes four major dimensions that support recovery: health, home, purpose, and community. These dimensions are relevant, even in correctional settings.

- **Health** relates to overall health and well-being. Individuals in recovery actively engage in improving their own physical and mental health. For incarcerated individuals, their health can be negatively impacted by reduced access to health care and social services, the controlled environments that limit the type and frequency of physical activity, and the nutritional profile of the foods provided. Conversely, incarceration can facilitate healthy choices, such as abstaining from substance use and adhering to recommended medications.
- **Home** is defined as a safe and secure place to live. Jails and prisons provide relatively stable housing wherein a person has a roof over their head, access to food and water, and

personal hygiene facilities, but incarcerated individuals lack control over where they are housed. Some correctional facilities struggle with issues of violence and overcrowding, which impact the overall safety of the space. Housing instability and insecurity increase upon reentry due to the stigma of a criminal record, limited employment opportunities, and structural obstacles to obtaining housing (Herbert, Morenoff, & Harding, 2015).

- **Purpose** is a connection to the activities of daily living in a meaningful way and having autonomy and responsibility. In secure settings, individuals have limited opportunities to practice independence, make critical decisions, or engage with the larger society outside the jail/prison. While many people hold jobs inside the facility, like laundry or kitchen positions, compensation is low, and there are seldom enough openings for every job seeker. Although limited, some jails and prisons provide individuals opportunities to pursue and learn new skills, take educational classes (GED/college), and engage in SUD and MH treatment. However, especially in jail, the average length of stay often is too short to afford meaningful participation in constructive activities.
- Community means establishing positive relationships, social networks, and personal support systems. In jails and prisons, opportunities to engage with others are limited, usually controlled, and confined. Individuals may be allowed time to participate in a limited range of activities, such as educational classes/workshops, library visits, recreational time, family visits, and SUD and MH treatment groups (Alcoholics Anonymous, Narcotics Anonymous, cognitive behavioral therapy, dialectical behavioral therapy). Each of these can serve as an opportunity for a positive, prosocial connection. However, demand for involvement in positive programming often outweighs supply, leaving many individuals without avenues to build a healthy recovery network. Additionally, housing and safety policies limit options about where people are housed, who is in their unit, and with whom they are allowed to engage.

As these dimensions suggest, the risk of reoffending can be reduced by helping individuals build richer and more fulfilling lives, and correctional settings have important roles to play.

Recovery-Oriented Corrections: Balancing Risk, Safety, and Recovery in Secure Settings

As the practices related to recovery from SUD and co-occurring MH conditions have evolved, the concept of recovery-oriented systems of care (ROSC) has emerged. A ROSC is a coordinated network of community-based services and supports that is person-centered and builds on the strengths and resilience of individuals, families, and communities to improve health, wellness, and the quality of life for individuals experiencing or at risk of SUDs. In a ROSC, existing community resources are brought together to engage all stakeholders, enhance infrastructure to support individuals in recovery, and promote continuity of services and care. Peer support is a core element of a ROSC.

Correctional institutions can also be recovery-oriented systems, but the approaches must be interpreted and translated for correctional settings, and the value of such approaches must be understood.

Historically, managing risk has been a greater focus than promoting recovery. Risk assessment and management are deeply embedded in the culture, environment, and everyday practice of secure settings. Boundaries are necessarily and rightly established and maintained for the safety and security of staff members and others. In integrating recovery principles into what they call "secure settings," Drennan and Alred (2012) named their adaptation "secure recovery." They write:

"Secure recovery acknowledges the challenges of recovery from mental illness and emotional difficulties that can lead to offending behavior. It recognizes that the careful management of risk is a necessary part of recovery . . . but this can happen alongside working towards the restoration of a meaningful, safe, and satisfying life."

They note that instead of risk being understood as something separate from, or more important than, an individual's recovery, risk can be viewed and treated as one aspect of the recovery process. Programs can help individuals to shift from negative to positive risk-taking, that is, engaging in behaviors in which they take on new challenges leading to personal growth and development. Supporting positive risk-taking is not about lax security; rather, it is an approach to risk that is informed by the primary goal of supporting an individual's recovery—within the confines and limitations imposed by the setting. There will always be restrictions on how much autonomy, choice, and opportunities for positive risk-taking can be given to individuals who are incarcerated. The key in recovery-oriented settings is how the environment can be shaped to give people as much control as possible over their lives as they progress in their recovery journey.

Shifting Focus: Individual Recovery and Desistance Requires Community Involvement—Just as recovery from SUDs or MH diagnoses involves the process of behavioral change, so too does *desistance*. This concept is important in recovery-oriented correctional settings.

The National Institute of Justice (n.d.) defines recidivism as "a person's relapse into criminal behavior," and desistance as "the process by which a person arrives at a permanent state of non-offending." In this sense, desistance involves a shift not only in actions but in a person's individual and social identity and how they see themselves fitting into a community.

Recovery and desistance both highlight the importance of process and place the individual within a larger social context. While this does not remove the responsibility of persons to make changes in their own life, it acknowledges the impact that social support, services, and identity have on the process (Best, Irving, & Alberson, 2017). Maruna and Farrall (2004) describe *primary desistance* as times of non-offending and *secondary desistance* as measured changes in one's identity as a non-offender. McNeill (2014) describes *tertiary desistance* as changes to one's social identity and sense of belonging to a community.

Both hope and despair can be contagious. Recovery and desistance require the creation of a new social environment built on hope, support, learning, and positive connections to peers and the larger community (Best, 2019; Best, Musgrove, & Hall, 2018). Recovery-oriented correctional systems create hope and in the process also increase the safety and security of their facilities. Examples of different programs using peer supports to achieve both goals are provided throughout this document.

Defining Peerness, Peer Practice, and Peer Support

We begin our discussion of peer support by defining the distinct position of a *peer*. A peer supporter is defined by SAMHSA (2015) as "a person who uses his or her lived experience of recovery from mental illness and/or addiction, plus skills learned in formal training, to deliver services in behavioral health settings to promote mind-body recovery and resilience." In correctional settings, peer supporters are persons with lived experience of recovery from SUDs and/or co-occurring MH conditions and criminal justice involvement. They may be currently or formerly incarcerated individuals who have received specialized training (and often certification) to deliver peer education or peer support in a voluntary or paid capacity in the prison and can also provide support to others within the community. This duality of lived experience is required for individuals serving in a peer role to fully understand the traumas that may have occurred before, during, and after incarceration. This distinct experience helps others navigate criminal justice and health care systems simultaneously, providing stronger and more relevant support for individuals (Rowe et al., 2007).

Peer practice is an approach to working with others grounded in a set of principles that have emerged from people's experiences in long-term recovery. The primary principle is keeping recovery first for both the peer supporter and the individual seeking support. A second core principle is meeting individuals "where they are." In practice, this means being supportive rather than directive and focusing on strengths and resiliencies. Other foundational principles relate to the authority and expertise of lived experience, mutuality and reciprocity, relationships built on respect and trust, and self-efficacy and empowerment (White, 2009a; Reif et al., 2014; Hoffman et al., 2019).

Peer support is an evidence-based model of care that consists of a qualified peer support practitioner who assists individuals with their recovery from substance use and MH conditions. Peer-delivered services are supportive rather than directive; reciprocity and empathic human relationships are central components (Miyamoto & Sono, 2012). Peer support's core principles and values are being voluntary, non-judgmental, empathic, and respectful and requiring honest and direct communication, mutual responsibility, power-sharing, and reciprocity (Blanche, Filson, & Penny, 2012).

PRSS refers to the wide array of non-clinical supports peer recovery specialists provide. Five core values underlie PRSS programs and the work of peer supporters (SAMHSA, 2015):

- 1. Recovery-oriented: Peer specialists hold out hope to those they serve, partnering with them to envision and achieve a meaningful and purposeful life. Peer supporters help those they serve identify and build on strengths and empower them to make choices for themselves, recognizing that there are multiple pathways to recovery.
- 2. Person-centered: PRSS are always directed by the person participating in the program. Peer support is personalized to align with the specific hopes, goals, and preferences of the individual served and to respond to the specific needs the individual has identified.

- 3. Voluntary: Peer specialists are partners or consultants to those they serve. They do not dictate the types of services provided or the elements of recovery plans that will guide their work with peers. Participation in PRSS is always contingent on peer choice.
- 4. Relationship-focused: The relationship between the peer worker and the peer is the foundation for peer support. The relationship between the peer worker and peer is respectful, trusting, empathetic, collaborative, and mutual.
- 5. Trauma-informed: Peer specialists use strengths-based approaches that emphasize physical, psychological, and emotional safety and create opportunities to rebuild a sense of control and empowerment.

To successfully implement a PRSS program, organizations need to understand these core values and use them to guide service planning and delivery.

Trauma-Informed Peer Support

Individual trauma results from an **event**, series of events, or set of circumstances that are **experienced** by an individual as physically/emotionally harmful or life threatening and that have lasting adverse **effects** on the individual's functioning and mental, physical, social, emotional, or spiritual wellbeing (SAMHSA, 2014).

- Trauma is pervasive. Its impact is broad, deep, and life-shaping, and it disproportionately affects the most vulnerable.
- Significant percentages of individuals who are incarcerated, and those with SUDs, have been impacted by trauma.
- Systems themselves have often been traumatizing or retraumatizing.

Treatment plus peer support can break the cycle of beliefs that reinforce traumatic stress (e.g., believing that one is permanently damaged, that nobody could understand, that no one should or could tolerate one's story).

Peer support is embedded in the core principles of a trauma-informed approach. PRSS provide resources to help individuals understand triggering signs resulting from trauma and how to address them in a healthy manner while promoting safety and resiliency. Trauma-informed peer support means that peer recovery specialists are trained in understanding the 3 Es (events, experience, and effects) of trauma, how to address them in peer work, and when to encourage individuals to seek clinical support.

Understanding key elements of a trauma-informed approach in justice settings is essential to effectively provide peer support. It is equally important to understand that the peers providing services may have also experienced trauma. Integrating a trauma-informed approach should include steps to prevent retraumatization, recognize secondary/vicarious trauma, and build upon the resiliency of peer specialists. A trauma-informed approach should include support for the peer staff members by others who understand the impact of trauma and how to support peers in recognizing the need for self-care.

EVIDENCE FOR PRSS BEHIND THE WALLS

PRSS programs inside jails and prisons offer an evidence-based and innovative approach to supporting recovery from SUD and MH conditions. Not only are PRSS successful at reducing risky

behaviors for incarcerated participants, but they also help improve emotional well-being (Bagnall et al., 2015). Additionally, studies show that PRSS effectively reduce rates of recidivism by providing resources and support to persons with SUD and/or MH needs (Bellamy et al., 2019). PRSS also have the potential to address the unique needs of incarcerated individuals (Chapman, Blash, Mayer, & Spetz, 2018). Research also suggests PRSS can be implemented effectively within correctional settings in partnership with health and prison services (South et al., 2016).

Research has found that MH providers who integrate peer support into treatment are more successful at promoting hope and belief in the possibility of recovery, empowering clients, and increasing their self-esteem, self-efficacy, and self-management of difficulties (Repper & Carter, 2011). They also promote social inclusion, engagement, and expanded social networks better than professional staff working on their own (Repper & Carter, 2011). Research also indicates that being in a supportive role helps currently and formerly incarcerated peers to develop meaning and purpose, regain a sense of control over their issues, and increase their well-being (Barrenger, Maurer, Moore, & Hong; 2020; Addiction Policy Forum, 2019; Ashcraft & Anthony, 2011).

Among prison programs that engage incarcerated persons in delivering peer support, studies show that PRSS have been shown to fill gaps in service provision, with the result that peer support decreases demand for services offered by staff and thereby increases staff availability for other duties (South et al., 2016). Research also indicates that peer workers benefit from more fulfilling work opportunities within the prison setting, offering them the chance to gain skills and qualifications (Ross, 2011; Boyce, Hunter, & Hough, 2009; Brooker & Sirdifield, 2007). As research has documented, "the wounded healer or 'professional ex' role is related to desistance and can transform formerly incarcerated persons from being part of 'the problem' into being part of 'the solution' to reduce crime and recidivism" (Lebel, Richie, & Maruna, 2015).

Lastly, other research points to the positive impact on prison culture, ranging from peer workers being able to diffuse volatile situations to better relationships between staff members and prisoners to a more caring and humane atmosphere (Brookes, 2012). Peers can act as a bridge between correctional officers and individuals who are incarcerated, challenging a correctional culture where neither feels comfortable communicating with the other. Both inmates and officers will see peers communicating freely with both; thus, peer workers may add further social value to the correctional environment.

Identifying Program-Specific Peer Specialist Competencies, Roles, and Tasks

Peer recovery specialist is an overarching term that refers to persons with lived experience supporting others along their path of recovery—either before, during, after, or instead of treatment. This section describes peer recovery specialists' core competencies and expectations specific to working in correctional settings.

CORE VALUES AND COMPETENCIES

SAMSHA and peer-focused organizations such as the National Association of Peer Supporters have identified the core competencies needed to be effective in a peer-support role. **Peer recovery specialist core competencies bring core recovery values to life**. The competencies further define and extend the core values by specifying peer specialists' knowledge and skills and their tasks to put the values into practice. This makes the core competencies a useful program planning tool in that they also describe key elements of effective one-on-one support. Table 1 summarizes the competency categories.

Category	Competencies
Engage Peers in Collaborative and Caring Relationships	Initiate contact, listen to peers with careful attention to the content and emotion being communicated, reach out to and engage peers across the whole continuum of the recovery process, demonstrate genuine acceptance and respect, and demonstrate understanding of peers' experiences and feelings.
Provide Support	Validate peers' experiences and feelings, encourage the exploration and pursuit of community roles, convey hope to peers about their recovery, celebrate peers' efforts and accomplishments, and provide concrete assistance to help peers accomplish tasks and goals.
Share Lived Experiences of Recovery	Relate personal recovery stories and, with permission, the recovery stories of others to inspire hope, discuss ongoing personal efforts to enhance health, wellness, and recovery, recognize when to share experiences and when to listen, describe personal recovery practices, and help peers discover recovery practices that work for them.
Personalize Peer Support	Understand personal values and cultures and how these may contribute to biases, judgments, and beliefs, appreciate and respect the cultural and spiritual beliefs and practices of peers and their families, recognize and respond to the complexities and uniqueness of each peers' process of recovery, and tailor services and support to meet the preferences and unique needs of peers and their families.
Support Recovery Planning	Assist and support peers to set goals and dream about future possibilities, propose strategies to help peers accomplish tasks or goals, support peers to use decision-making strategies when choosing services and support, help peers to function as a member of their treatment and recovery support team, and research and identify credible information and options from various resources.
Link to Resources, Services, and Supports	Develop and maintain up-to-date information about community resources and services, assist peers in investigating, selecting, and using needed and desired resources and services, help peers to find and use health services and supports, accompany peers to community activities and appointments when requested, and participate in community activities with peers when requested.

Table 1. SAMSHA Core Competencies for Peer Workers

Category	Competencies
Provide Information About Skills Related to Health, Wellness, and Recovery	Educate peers about health, wellness, and recovery supports, participate with peers in discovery or co-learning opportunities to enhance recovery experiences, coach peers about how to access treatment and services and navigate systems of care, coach peers in desired skills and strategies, educate family members and other supportive individuals about recovery and recovery supports, and use approaches that match the preferences and needs of peers.
Help Peers to Manage Crises	Recognize signs of distress and threats to safety among peers and in their environments, provide reassurance to peers in distress, strive to create safe spaces when meeting with peers, take action to address distress or a crisis by using knowledge of local resources, treatment, services, and support preferences of peers, and assist peers in developing advance directives and other crisis prevention tools.
Value Communication	Use respectful, person-centered, recovery-oriented language in written and verbal interactions with peers, family members, community members, and others, use active listening skills, clarify their understanding of information when in doubt of its meaning, convey their point of view when working with colleagues, document information as required by program policies and procedures, follow laws and rules concerning confidentiality, and respects others' right to privacy.
Support Collaboration and Teamwork	Work together with other colleagues to enhance the provision of services and support, assertively engage providers from MH services, addiction services, and physical medicine to meet the needs of peers, coordinate efforts with health care providers to enhance the health and wellness of peers, coordinate efforts with peers' family members and other natural supports, partner with community members and organizations to strengthen opportunities for peers, and strive to resolve relationship conflicts with peers and others in their support network.
Promote Leadership and Advocacy	Use knowledge of relevant rights and laws (Americans with Disabilities Act, Health Insurance Portability and Accountability Act [HIPPA], Olmstead Act, etc.) to ensure that peers' rights are respected, advocate for the needs and desires of peers in treatment team meetings, community services, living situations, and with family, use knowledge of legal resources and advocacy organization to build an advocacy plan, participate in efforts to eliminate prejudice and discrimination of people who have behavioral health conditions and their families, educate colleagues about the process of recovery and the use of recovery support services, actively participate in efforts to improve the organization, and maintain a positive reputation in peer/professional communities.
Promote Growth and Development	Recognize the limits of peers' knowledge and seek assistance from others when needed, use supervision (mentoring, reflection) effectively by monitoring self and relationships, prepare for meetings and engage in problem-solving strategies with the supervisor (mentor, peer), reflect and examine own personal motivations, judgments, and feelings that the peer work may activate, recognize signs of distress and know when to seek support. Seek opportunities to increase knowledge and skills of peer support.

ROLES AND TASKS

Peer specialists may have many different titles or roles. While the competencies for peer specialists are somewhat universal—especially the sharing of lived experiences of recovery and inspiring hope and change—each role emphasizes different competencies, as the examples in table 2 show.

Core Responsibilities	Key Competencies			
Recovery Interventionist/Crisis Interventionist				
Provide support and guidance to a person at a critical intercept point along the recovery support continuum, linking a person to treatment or other recovery support services requested by the person being supported.	 Supports personalized recovery planning that helps participants to manage crises and take steps toward more healthful behavior Links to resources, services, and supports Develops tools for effective outreach and continued support Addresses stigma Supports collaboration and teamwork 			
Recovery Coach				
Serve as a guide and mentor for a person seeking or already in recovery. Help identify and remove obstacles and barriers, support connections to the larger recovery community and other resources useful for building recovery capital, and respect the path to recovery chosen by the person seeking support.	 Engages peers in collaborative and caring relationships Provides personalized support: Practices a strengths-based approach to recovery/wellness Tailors services and supports to meet preferences and unique needs Provides concrete assistance to help accomplish goals and tasks Assists individuals in identifying support systems Applies principles of individual choice and self-determination Assists individuals to identify and build on their strengths and resiliencies Supports holistic, ongoing recovery planning Provides information about skills related to health, wellness, and recovery Promotes leadership, advocacy, growth, and development 			
Peer Specialist–Treatment and	Recovery Courts			
Support people involved with the criminal justice system as a mentor, guide, and/or resource connector while they are engaged with the court and beyond.	 Supports personalized recovery planning and positive engagement in the criminal justice system Assists and supports participants in setting goals related to adherence to court requirements Proposes strategies to help participants accomplish tasks or goals Links to resources, services, and supports Addresses barriers to housing and employment Assists to identify, select, and use resources and services Provides information about skills related to health, wellness, and recovery Advocates for individuals while supporting compliance 			

Core Responsibilities	Key Competencies			
	Supports collaboration and teamwork			
Peer Advocate-Reentry				
Provide assertive advocacy on recovery-related issues that transcend personal, professional, and institutional interests. Reduce/eliminate service disparities, reduce/eliminate stigma/discrimination, and make addiction treatment more responsive, effective, and efficient.	 Supports personalized recovery planning focused on positive engagement in the criminal justice system Advocates for individuals while supporting compliance Addresses the relationship between incarceration and trauma Addresses stigma, discrimination, and exploitation that individuals face within society as a result of their criminal justice involvement Links to resources, services, and supports Addresses barriers to housing and employment Assists to identify, select, and use resources and services Helps participants to manage crises Supports collaboration and teamwork 			

As indicated above, different roles emphasize different aspects of the recovery process. For coaches, recovery planning and recovery check-ins across time are the cornerstones. For

Training Corrections Staff Members Regarding Peer Support and Peer Roles/Tasks

In addition to training for the peer recovery specialist (noted above), other prison staff members, regardless of their roles and functions, should receive education on SUD, recovery, and the services available at the prison; the collection and use of data to support and inform their work; and measurements that are recovery- and recovery capital-oriented rather than solely focused on abstinence or recidivism, including peer support services. This education will help reduce peer stigma among prison staff members and improve the integration of peer support workers in jail, prison, and reentry services. recovery interventionists, it is recovery planning within the context of a crisis (crisis management), and for corrections-based peer recovery specialists, recovery planning likely means aligning court or community supervision requirements with the goals and desires of the individual. The one-on-one support that peer specialists offer—recovery planning—is the same, but how it is undertaken may vary widely.

The competencies describe the specific tasks that peer recovery specialists do. Your program can use the defined competencies to fine-tune the specific job descriptions for your program, set learning objectives for on-the-job and advanced training, define specific services and supports to be offered, or identify needed partnerships to complement the PRSS that are directly offered.

Integrating Peers and Offering PRSS in Correctional Settings

Peers are increasingly recognized as beneficial to programs throughout justice settings, from the point of entry to community reintegration. Correctional facilities across the country are embedding peer support into all areas of services, programming, and daily life. Behind the walls, PRSS are complex programs taking place within a setting that has been described as a "total institution"—a site where every aspect of an individual's life is prescribed and controlled. The correctional setting requires a peer support program that is customized for jail/prison environments. It can be helpful to have an overarching framework for conceptualizing, planning, and evaluating how services fit into such a setting. Figure 1 summarizes dimensions related to the integration of PRSS into jail and prison settings (Burden & Etwaroo, 2020).

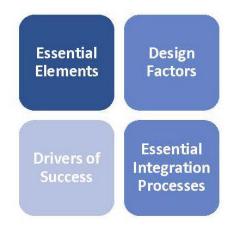


Figure 1. Conceptual Framework for PRSS Delivery in Jails and Prisons

CORE COMPONENTS OF A COMPREHENSIVE PRSS PROGRAM

There are five essential elements of a comprehensive PRSS program in correctional settings, listed in figure 2.



Figure 2. Core Components (Essential Elements)

Trained peers. The first core component is trained peers. Training, whether it leads to formal certification or not, standardizes the core body of knowledge and competencies for entry-level peer work. Prospective peer workers demonstrate their proficiency in meeting the requirements through an examination and/or other competency assessment.

Certification is not a requirement for program success, but adequate preparatory and on-the-job training and development *are* requirements. This means ensuring that new peer specialists have a thorough understanding of the stages of the change model (Prochaska, Redding, & Evers, 2008), the process of change, and the stages of recovery. However, having certified peer workers can lead to sustainable funding options if services are covered by private insurance or are eligible for Medicaid.

Choice and access. Choice, self-direction, and empowerment are foundational values of PRSS, even within correctional environments. For a program to align with peer recovery values, it must offer choice. This is possible within a jail or prison, although choice in this context looks different than for PRSS delivered in the community. Individuals' decisions to participate in services are conditional on housing status, classification, behavior, sentence, security permissions, and additional factors.

Programs can put choice into practice in several ways, such as supporting many pathways to recovery, assuming that the person seeking recovery is fully capable of making informed choices, and respecting an individual's goals, objectives, and preferences. As in wider society, where everyone has the choice of whether to conform to social norms, incarcerated individuals can decide at what level they are prepared to conform to the prison regime (whether or not to go to the yard to exercise, go to church services, attend educational sessions, or to actively take part in their reentry-planning process). These opportunities to exercise choice and control are even greater within prison-based therapeutic communities to which individuals must apply (Drennan, 2013).

There are several programmatic strategies for facilitating access, such as having peer specialists designated to specific housing units, holding hours for participant drop-ins, establishing reentry planning workshops/groups, offering technology-assisted (JPay, phone, text, web-based) peer supports, and providing access to peers in community-based settings upon reentry. Policies and procedures can be developed to increase accessibility to peers and peer supports by addressing location(s), hours, and access when emergent needs arise. (*Sample policies are provided in tool 3*).

Peer Support in Residential Substance Abuse Treatment Programs

Residential Substance Abuse Treatment (RSAT) for State Prisoners programs promote the development and implementation of substance abuse treatment programs in state, local, and tribal jails and prisons and residential aftercare facilities. Programs provide residential substance abuse treatment for incarcerated persons, prepare them for their reintegration into a community by incorporating reentry planning activities into treatment programs, and assist released individuals by providing residential treatment in the community.

RSAT funding provides treatment and aftercare services, including case management and a full continuum of support services. State aftercare services involve the coordination of the correctional facility-treatment program with other human service and rehabilitation programs, such as educational and job training programs, parole supervision programs, halfway house programs, and self-help and peer group programs that may aid in an individual's rehabilitation.

Many RSAT programs use modified therapeutic communities (TCs) to treat inmates. However, since RSAT participants are separated from the general population, all RSAT programs provide aspects of TCs, which have been identified as a highly effective evidence-based treatment model for incarcerated individuals with SUD (Pearson & Lipton, 1999; Welsh, 2007). RSAT TCs provide a minimum of 90 days of highly structured, behavioral modification programs that foster increased levels of personal and social responsibilities, primarily through peer influence. The TC model uses a variety of group processes to help individuals learn and practice prosocial norms, values, skills, and behaviors. The core principle of "community as the agent of change" drives the TC model (Cook, McClure, Koutsenok, & Lord, 2008). RSAT TC programs differ, but all include individual and group counseling sessions, self-help groups, and other programming that provide opportunities for individual and group interaction.

West Tennessee State Penitentiary Peer Programming

Increasingly, TCs are including formal PRSS as a part of their programming. Of these, the West Tennessee State Penitentiary TC programs stand out. Both their men's and women's prison programs are 9 to 12 months long with three phases lasting 3 to 4 months each. The variability in timeframe depends on an individual's progress in meeting the milestones required to progress to the next phase, as well as their individual goals and objectives. TC residents have a variety of resources available to support their recovery. For example, tutors and mentors are available to assist with reading and writing. Residents are eligible for graduation when they complete all three phases, finish required pre- and post-tests and written work, meet their individualized treatment plan goals, and all TC staff members agree they are ready to move on.

Participants are paired with a peer supporter (another TC resident who has been trained to provide assistance and motivation). This support goes beyond the mutual aid that is common in therapeutic communities. Peer supporters model the value of every individual's recovery experience, teach effective coping techniques and self-help strategies, and encourage others to develop healthy independence.

The women's peer program operates both within the TC and as a resource for the entire women's complex. Within the TC, peer recovery specialists often co-lead community meetings and sessions with the clinical staff members. Peer recovery specialists also lead educational and peer support groups and can provide one-on-one support within the TC and for inmates in other pods.

Recovery capital building. Recovery is a journey that involves the growth of recovery capital, which is the sum of the strengths and supports—both internal and external—that are available to help someone initiate and sustain long-term recovery from addiction (Granfield & Cloud, 2004; White, 2008). A *recovery capital assessment* measures participants' strengths, resources, motivation, and aspirations to support their recovery journey (Groshkova, Best, & White, 2013).

Recovery planning assists individuals in (a) articulating and visualizing the kind of life they would like to have in recovery, (b) outlining their personal recovery goals, and (c) developing action steps to achieve their recovery goals upon reentry that include a safe and affordable place to live; steady employment and job readiness; education and vocational skills; life and recovery skills; health and wellness; sense of belonging and purpose; community and civic engagement; and recovery support networks.

Recovery check-ins improve the likelihood of sustained sobriety and engagement in a recovery program (Scott & Dennis, 2003). They provide opportunities for participants to reflect on progress toward the goals they set in their recovery plan, talk about challenges and barriers, and identify resources (Braucht, n.d.).

Recovery peer support groups and activities. Beyond one-on-one recovery check-ins, comprehensive PRSS programs include peer support groups, health education, stress and emotional management, crisis prevention, and community-building activities. Groups can be structured or semi-structured, educational or for emotional support, or have mixed components. They can be formed around a shared identity, such as belonging to a common cultural group or gender, or shared experience related to building a life in recovery.

Reentry support and linkage to the recovery community. Ideally, peer support can link individuals to treatment and recovery supports that are available upon reentry. Connecting individuals to the recovery community outside the walls is an essential part of reentry planning for individuals who have established their recovery while incarcerated.

Linking participants to a broader recovery community assists them in building a life in and sustaining recovery for three key reasons: (1) it can offer a positive sense of identity, belonging, and purpose; (2) it builds pro-social, recovery-oriented networks; and (3) it increases opportunities to access the community recovery capital (White, 2009b; Best et al., 2012; Kelley et al., 2017; Best, Musgrove, & Hall, 2018).

DESIGN FACTORS THAT IMPACT SUCCESSFUL INTEGRATION

Seven key program design factors impact the integration of peer supports into correctional facilities, as listed in figure 3.



Figure 3. Design Factors

Type of correctional facility/setting. Whether it be a jail or state or federal prison, PRSS delivery must be adapted to the specific carceral environment. Factors such as individual sentence, stay-away orders, security classification, and clearance level can dictate where a person is housed and what services they have access to—and all these factors must be considered in the planning of PRSS programs behind the walls. The advent of COVID-19 added virtual peer support to the mix of services. They relate to comprehensiveness and duration of supports. Jail-based PRSS programs need to be shorter and more flexible/fluid than those in prisons. (*More information on comprehensiveness and duration is provided below.*)

Security/perceptions of risk. Recovery principles emphasize hope, strengths, choice, empowerment, well-being, and a positive sense of identity. Applying recovery principles to correctional settings requires creative adaptation to address concerns related to security and risk. In their adaptation, Drennan and Aldred (2013) coined the term "secure recovery," which (1) acknowledges the challenges of recovery from mental health and SUDs that can lead to offending behavior and (2) recognizes that in secure settings, the careful management of risk can happen alongside working toward the restoration of a meaningful, safe, and satisfying life for individuals. *See* Recovery-Oriented Corrections: Balancing Risk, Safety, and Recovery in Secure Settings *on page 4 for more about the secure recovery model.*

Institutional perspective on its role in recovery. Detention can be an opportunity for recovery. For such a life crisis to be an opportunity for positive change requires the person to be an active participant in their recovery. Personal recovery cannot progress until the individual regains active control over their treatment and, ultimately, their life. Correctional institutions that incorporate a recovery-oriented perspective focus on changing the environment, not just to improve security and safety but also to foster more humanistic treatment. They move away from using segregation

Peer Support in Jail-Based Medication Assisted Treatment Program

The **Albany County, New York, Sheriff's Office** oversees a variety of programming at the Albany County Corrections and Rehabilitative Services Center (ACCRSC), including the addiction services unit. ACCRSC is one of the largest county correctional facilities in New York State, with a 1,043-bed maximum capacity and average daily population of more than 800 inmates. Currently, there are two full-time staff members along with several contracted behavioral health practitioners.

A large percentage of the ACCRSC population struggles with SUD. Some inmates are looking to engage with traditional treatment and recovery services, while most are interested in a harm reduction approach. ACCRSC became one of the first facilities in New York state to provide all three of the medications for opioid use disorder. It averages 30 to 40 medication-assisted treatment (MAT) participants at any given time. Staff members focus their efforts on creating a safe space to openly talk about issues as they arise, having honest conversations about the why the underlying reason(s) for the behavior occur(s), and how to change it/them.

ACCRSC contracts with Catholic Charities' Project Safe Point to provide two certified recovery peer advocates (CRPAs) who provide approximately 20 hours of in-jail support weekly. For individuals not interested in treatment, the CRPAs focus their efforts on harm reduction, building relationships while individuals are incarcerated, and ensuring that individuals are connected to community supports. For individuals engaged in MAT, CRPAs work to bridge gaps that can occur upon return to the community, helping to ensure the continuity of treatment.

The CRPAs have had a positive impact, including improved inmate morale and reduced anxiety and fear. ACCRSC staff members stress the importance of building a team that genuinely believes in the philosophies of the work being conducted and has some experience working with the incarcerated population which can be challenging. They also note that it is imperative to collaborate across systems to maximize program efficacy.

and other old-school justice approaches as a means of control and incorporate tools and resources to give people opportunities for positive risk-taking. These efforts decrease recidivism, decrease cell extractions, decrease disciplinary time, and increase prosocial behavior (Drennan & Aldred, 2013).

The institutional perspective on recovery directly relates to the role of peer workers, whom they serve, and for what purpose. Peer workers can be viewed as adjuncts (junior case managers/discharge planners) who are hired to support and reduce the work of other staff members, entry-level supplements to the behavioral health workforce whose job it is to complete routine tasks, or as fulfilling an autonomous new role focused on participant engagement and progress.

Internal or external peer staff members. Another key program design consideration is the employment status of the peer specialists. Within prison settings, peer supporters can be:

1. Employees (or volunteers) of an external partner, such as a recovery community organization or behavioral health provider. The benefits of this approach include ready-made expertise in providing peer support (which could lessen start-up time), building

relationships with/in the community, accessing resources that can assist individuals upon reentry, and gaining different perspectives on recovery and what supports individuals' recovery outside of the correctional environment. Challenges include finding appropriate partners and building trust, ensuring that your partner's vision for the program aligns with yours, clearly defining processes and protocols, obtaining clearances for partner staff members, sharing information, and addressing conflicts that may arise over program administration.

- 2. *Employees of the facility from the community*. The benefits of having peer specialists on the correctional facility staff include having direct supervision of the program, decreasing the program cost, resolving issues in a timely manner, enhancing opportunities for career advancement, and collaborating with other internal staff members. Challenges include consistently funding the staff, finding the right staff members, and addressing role and boundary conflicts.
- 3. Currently incarcerated persons hired from within the facility. In some ways, this is the truest approach, in that peer specialists who are incarcerated have the same current statuses and experiences as others in the setting. This approach has unique benefits and challenges. See Employing Peers Who Are Incarcerated (below) for more information and example programs.

Employing Peers Who Are Incarcerated

Peer work is not only an important service but also a vocational opportunity for individuals who will return to the community after their sentence. Offering peer work as a behind-the-walls employment opportunity is recognized as a best practice. It represents a win-win for the jail or prison. These programs show success for those trained as peer workers, individuals served, and for the correctional climate as a whole (Perrin & Blagden, 2016; Perrin, 2014).

However, programs that use peer workers who are currently incarcerated face unique challenges in implementing PRSS programs. Successful integration of programs employing incarcerated peers is dependent upon (1) effective recruitment, selection, and retention of inmates; (2) adequate training to prepare them for their role; (3) appropriate ongoing supervision; and perhaps most importantly, (4) buy-in of managers and support staff members at all levels (South et al., 2016), given that staff resistance is often a significant barrier. Prison staff members often raise concerns that security can be compromised by giving peers who are inmates increased access to restricted areas and freedom in their new role. These concerns can be overcome through staff education, by defining clear roles and responsibilities, and by devising strategies from the outset to alleviate security concerns. Additionally, PRSS programs can reach out to individuals who are already active leaders inside the facility not only as potential peer candidates but also to identify others who might be interested and have the skills and dedication to do the work.

Western Tennessee State Penitentiary uses inmates who are certified peer recovery specialists (CPRSs) as an essential component of SUD and MH treatment inside the facility. A successful candidate must meet the following criteria: (1) holds a high school diploma/GED; (2) has been in recovery for 24 months and has limited to no disciplinary actions; and (3) has the support of correctional officers and behavioral health staff members. After selection, individuals receive training and complete a minimum of 75 hours of supervised PRSS work. Training covers problem-solving and conflict resolution skills, ethics and boundaries, documentation, and self-care. To help maintain the scope of work, CPRSs do not receive any clinical skills training. In this model, CPRSs use their unique personal experiences to help inform peer-to-peer engagement and goals, stay abreast of current and changing understandings/treatments of SUD and MH disorders, and function as recovery leaders. Some unique challenges CPRSs face include pressure to affirm and promote prison norms and potential interference or manipulation by others.

The Vermont Department of Corrections implemented the Open Ears Program, which employs forensic peer recovery coaches (FPRCs) inside correctional facilities to help facilitate group reentry services and one-on-one mentoring sessions with incarcerated participants. Corrections staff members identify inmates who could be successful and effective coaches; if interested, they begin a week-long training process, using both external and internal training vendors. Once trained, the FPRCs earn seven dollars a day, which is the most any carceral position in the state pays. Coaching sessions are held in safe, confidential spaces. Depending on the facility, sometimes this is the space traditionally used for lawyer visits. The session is allowed to occur with no correctional officers within earshot and no recording devices present, with a clear expectation that coaches will not be used as informants for security staff members. FPRCs will only break confidentiality if the individual reports they have a plan to kill themselves or someone else, escape from custody, kill a victim upon release, or possess or have a plan to introduce a weapon. FPRCs also encourage soon-to-be-released individuals to connect with community resources and community-based peer support services. **Comprehensiveness and duration.** Three interrelated factors determine the appropriate comprehensiveness and duration of a program (and the appropriate roles and tasks for the peer specialists):

- 1. Anticipated number of peer specialists. In project management, the "iron triangle" concept has three constraints: time, scope, and cost. It is generally held that you can have two of the three but not all: either you get something quickly and of quality (costly), or quickly and cheaply (low quality), or high quality and low cost (takes a long time). There is a similar maxim for peer supports, for which the three constraints are the *number* of individuals one peer specialist can serve, the *duration* of supports, and the *intensity*, *frequency*, *and range* of supports. The number of peer specialists you anticipate having on staff—as either employees, volunteers, or both—will impact the duration, intensity/frequency, and number of supports. Fewer peer specialists mean they can either work with:
 - Fewer individuals, intensively, for a long duration.
 - More individuals, intensively, for a short period of time.
 - More individuals, less intensively, for a longer period of time.
- 3. Anticipated duration needed for effective peer specialist engagement with participants. In criminal justice settings, the duration is often a function of the Sequential Intercept Model (SIM), in which the PRSS program focuses on the needs of the population being served. For example, the relationship that jail-based peer specialists have with individuals is often short-term and intensive. In contrast, prison-based peer specialists interact over a longer period during which the intensity of support may change—from intake to release.
- 4. Location where supports will be offered. The type of one-on-one support offered in a TC may be different from the groups offered in other units. The peer support's nature, tone, and approach need to match the setting, space, and tools.

Setting matters, followed by the length of stays. Programs in jails may need to consider how to increase the frequency of peer supports, both one-on-one and group, given the shorter (and sometimes unpredictable) length of stay for an individual, while programs in prisons may be able to plan for continuity of peer support across a year or more.

Community Partnerships Increase the Effectiveness of Peer Support

The Multnomah County, Oregon, Sheriff's Office partners with the Mental Health and Addiction Association of Oregon (MHAAO) to provide peer specialists in county jails and for reentry. The program also maintains close relationships with several recovery community organizations, such as the 4th Dimension Recovery Center and Central City Concern, which allows the program to connect individuals more easily with supports that build recovery capital.

The peer program is guided by the principle that recovery is driven by each individual's unique needs in a self-determined program of recovery. Participation is voluntary. Jail staff members identify individuals who may have an SUD and who may need connections to community resources upon their release. If the individuals are interested and agree, their information is passed to a peer specialist for initial connection while incarcerated or immediately upon release. The peer specialists focus on informal education and support, discussing recovery goals, and connecting individuals with recovery supports, housing supports, and other basic needs.

The program avoids duplication of services by specifically focusing on individuals who do not have other supports and who are not involved in specialty courts. No formal classes, support groups, or educational sessions are offered to incarcerated individuals. Instead, jail staff members inform them about supports and services that may be available.

To follow up on participants, the program maintains a database of all contacts who are connected to services. Peer specialists provide updates for documentation. A core practice of the program is a biweekly meeting among peer specialists, supervisors, and program directors to talk about processes, updates, challenges, and successes.

The COVID-19 pandemic presented the program with some challenges. It has not been able to hire additional peers, and volunteer groups that would have allowed for different types of contact have been suspended. There have also been logistical challenges, such as peer specialists being unable to make in-person contact with individuals prior to release. Still, the program has done its best to connect with individuals in other ways, including calling and visiting in no-contact rooms, meeting individuals "at the door," and ensuring individuals have the information they need to contact them upon release.

The program staff members identified several key lessons learned:

- Frequent and regular communication among peers, peer supervisors, and peer program directors is key. Biweekly meetings help to create a regular space to update documentation, garner feedback, address challenges, and gather success stories.
- Partnerships with the larger recovery community are essential. They ensure peers can quickly connect individuals with the supports they need upon release.
- Program success relies on buy-in from critical champions. For Multnomah County, it was important to have buy-in from the sheriff and a command staff member.
- Programs must think carefully about clearance and clearance challenges. Challenges can arise, for example, when a peer specialist works in a facility where they personally know individuals who are incarcerated there.
- Consider the desired experience and requirements of peers before recruiting. For Multnomah County, newer peers with less experience offering peer support struggled. They found it critical to have peers who had lived experience with the criminal justice system, not just with substance use. These and other requirements should be transparently communicated during all stages of the hiring process.

Engaged stakeholders and community partners. Successful PRSS programs in correctional settings actively engage the many people who have a stake in its design and implementation, including Department of Corrections (DOC) administrators and facility leadership (e.g., warden, superintendent, special sheriff); security personnel (officers, deputies); counselors, clinicians, and psychiatric services; incarcerated peers and participants; and discharge and reentry planners.

Successful programs also engage community partners, including SUD treatment programs (especially those that provide access to medications for treatment), community-based medical and MH providers, recovery community organizations, social service agencies, and other community-based organizations.

For these partnerships, like any other, to be effective, it is important to (1) clarify the "why" behind the partnership, (2) cultivate equal commitment and ownership, (3) build trust, and (4) establish clearly defined processes, including for communication and for resolving conflict.

DRIVERS OF SUCCESS

Seven key drivers of program success, shown in figure 4, advance effective integration of peer supports.



Figure 4. Drivers of Success

Vision. Although the value of PRSS is well-documented, each institution must define for itself how peer supports will benefit the incarcerated individuals in their custody and the facility as a whole. Each must also have a solid vision of the role of peer specialists and the role they will play within the facility. Each must also have dedicated resources that foster peer principles, foster support for the peers, and promote recovery capital.

Alignment. To effectively integrate PRSS into a jail or prison, the core philosophies of jail administration, SUD treatment provision, and peer practice need to be compatible. Corrections philosophy and practice, rules and regulations, physical setup, and staff members' roles associated with correctional facilities can undermine PRSS program goals. As much as possible, these need to be rethought and reframed to be more conducive to recovery.

Milwaukee County Behavioral Health Division Champions Peer Support Across Continuum of Care

The Milwaukee County, Wisconsin, Behavioral Health Division (BHD) partners with the Milwaukee County Jail and the Milwaukee County House of Corrections to provide a full spectrum of resources for individuals with SUDs, specifically opioid use disorders, while incarcerated and after release.

There is a strong continuum of care that begins with a comprehensive assessment that then connects participants to a whole system of care, including counseling and medical services, MAT, bridge housing (sober housing), and peer support.

A multidisciplinary team approach is essential and open communication is key. All staff members— (medical staff members, peer specialists, clinicians, staff members from community-based Access Point, and correctional officers—are connected and engaged in dialogue about the participant. Treatment team meetings also engage a family member or other loved one to enhance support. Due to the COVID-19 pandemic, both clinical services and peer support are being conducted virtually. This allows incarcerated participants to begin building a network of support that they can use post release. There has already been an increase in follow-through with aftercare appointments and in adherence to medication for opioid use disorder after release.

There is also a strong focus on training for correctional staff members around peer support and the strength, experience, and expertise a peer specialist contributes, as well as MAT, naloxone administration, and, most recently, cultural competency. These help to reduce the stigma associated with substance use and increase the efficacy of interventions.

One key lesson BHD staff members have learned is always to bring peers to the table when developing programming. The team notes that it would be a huge disadvantage not to include a diverse group of people and providers to help shape and promote a strong continuity of care. Be open and teachable; everyone brings experience and expertise to the table. Every life is worth saving, and that is the foundation of the project.

Engagement. The most effective PRSS programs are planned and refined with the deep participation of persons with lived experience of incarceration and recovery. Their meaningful input generates recommendations, strategies, solutions, and tailored approaches, leading to improved recovery support. For example, one prison in Pennsylvania created a peer leadership team that worked with staff members to address how to foster a model program and promote ownership of the program and the facility's needs.

Selection. Finding and hiring the right people for peer-specialist positions is key. The wrong person in the role can do more harm than good. Effective recruitment, hiring, and onboarding are essential to create a good fit between a new employee and the organization, increase job satisfaction, reduce staff turnover, and increase program performance.

Facility Environment/Climate. Organizational context, setting, and culture can profoundly affect the nature and quality of peer support. The movement toward a person-centered, recovery-oriented approach may require a climate shift within agencies and organizations that have historically implemented punitive measures to change behavior. For programs to be successful, it is important to create a safe environment in which positive, trusting, peer-to-peer relationships can thrive. To do so, programs should assess the readiness of facilities and the system to become recovery-oriented and develop and implement policies that are (1) mindful of fairness, dignity, respect, (2) safeguard individual welfare, and (3) protect from physical and psychological injury.

It is also important to create a climate in which all program staff members are supported in being effective in their program role, engaged in learning, and continuously improving based on best practices and the use of data.

Infrastructure and Resources. Perhaps it goes without saying that programs cannot be successful if they do not have the necessary infrastructure and resources. The infrastructure (the systems, processes, and policies that guide program staff members) has to facilitate and enable behaviors that support effective work performance. Key systems and processes include safety and security; data collection, analysis, reporting; scheduling and daily workflow; and supervision.

Additionally, the resource allocation has to be sufficient for the level of operations and the impact you want the PRSS program to have. This can be challenging in chronically under-resourced settings.

Training and Ongoing Support. While certified peer recovery specialists complete standard training and certification where available, it is important to remember that this training is basic. As for any other profession, additional on-the-job and continuing education will be needed for peer specialists to fulfill their specific roles and tasks within a particular correctional setting.

Peer specialists that come into the correctional setting from outside the walls will need additional training addressing issues in the criminal justice system, including the SIM, policies and protocols for the specific setting in which they will work, and, if specialists are formerly justice-involved, how to address issues that arise in adjusting to their "new identity" in this setting. Training on trauma-informed peer support is also essential. Additional topics to consider for supplementary training include (1) co-occurring disorders, (2) suicide prevention, (3) understanding reentry system navigation and supporting peers through reentry, (4) cultural competency, including in working with LGBTQIA populations, and (5) specific evidence-based practices such as motivational interviewing (adapted for the peer context) or evidence-based self-help programs such as Wellness Recovery Action Plan or Whole Health Action Management.

Supervision of peer practice is essential. It is a key means to provide ongoing support for peer specialists. Supervision is a strengths-based process in which there is mutual accountability between the supervisor and supervisee. The supervision of peer recovery specialists enhances and develops the unique knowledge and skills necessary for effective peer practice and provides a safe space to address ethical dilemmas and boundary issues.

Supervision also helps peer specialists be consistent in setting and keeping boundaries and practicing self-care. Regular supervision provides opportunities to check in on roles, tasks, and boundary issues that arise (e.g., one-on-one problem-solving during supervision, group problem-solving with other peers) and to provide guidance on addressing boundary issues in peer-to-peer, peer-to-participant, and peer-to-corrections staff relationships.

Supervisors need an understanding of the impact of trauma, recognition of the effects of individual trauma and secondary traumatization, and how peers can provide safe, transparent support. Supervision reinforces how peer specialists can support their peers in minimizing risk factors, recognizing resiliency, and using positive coping mechanisms.

Supervision is for the *program*, not just for individual peer specialists. Therefore, in supervision, peer specialists are engaged in strengthening the program and fostering an organizational culture conducive to recovery. Supervisors must be champions for recovery, working with administrators to ensure that the program is structured appropriately and supported adequately to thrive within the facility's structure, consistent with its policies and practices.

Pennsylvania Department of Corrections Embraces System-Wide Peer Support

In 2012, the Pennsylvania Department of Corrections (PADOC) pilot tested a certified peer specialist (CPS) program at 6 of its 25 state correctional institutions (SCIs). The evaluation identified numerous benefits of the pilot program, including reduced institutional rule infractions, reduced need for and use of restrictive housing, and improved staff and peer communication and professional relationships. Since that time, CPSs have become an important ancillary component to PADOC's delivery of substance use and MH care services for individuals that are incarcerated at all SCIs. PADOC has continued to train new CPSs and continues to advocate for CPS services for individuals upon reentry.

CPSs within PADOC are individuals that are currently incarcerated who are trained and certified to offer one-on-one and group peer support services that are designed to promote individual empowerment, personal responsibility, self-determination, coping skills, and resiliency. CPS services also aim to provide support to individuals in recognizing triggers and difficult or adverse behaviors that may lead to restrictive interventions within a correctional setting. Of particular importance is the unique benefit CPSs provide to incarcerated individuals experiencing an MH crisis. CPSs are trained to use a person-centered and strengths-based approach to interacting with a person in crisis. Incorporating specific peer-led de-escalation efforts, when appropriate, greatly enhances PADOC's efforts to reduce the use of force and the risk of violence within the system. Consequently, a spillover benefit of incorporating peer support services into PADOC has been the reduced use of restrictive housing as well as increasing opportunities for incarcerated individuals to learn prosocial responses and other coping techniques to manage difficult emotions, which may inevitably help individuals with chronic mental illnesses achieve enhanced stability and well-being while avoiding correctional disciplinary sanctions.

CPS candidates within PADOC either volunteer or are identified by DOC staff members from within each SCI. Identified candidates are trained using the same curriculum as individuals seeking to become a CPS within the community. However, within PADOC, CPSs receive additional training in a variety of evidence-based practices including trauma-informed approaches, Mental Health First Aid, suicide prevention practices within a correctional setting, and Wellness Recovery Action Plans. CPSs in PADOC also receive training in other critical topics that support enhanced peer services and complement clinical services delivered by professional PADOC staff members. A small percentage of the CPS candidates that meet eligibility requirements are selected from the DOC's population of individuals that have been sentenced to life in prison. A common statement heard from this group is that becoming a CPS has given their own lives purpose. They, and others with shorter sentences, experience life-changing benefits by becoming a CPS and use this opportunity to promote mental wellness and recovery within the prison setting.

Pennsylvania Department of Corrections Embraces System-Wide Peer Support (continued)

Opportunity for Workforce Development

At the core of the CPS program is a workforce development opportunity for Pennsylvanians who are incarcerated in Pennsylvania state prison. CPSs trained within PADOC seek certification through the Pennsylvania Certification Board, not only to augment employment prospects and opportunities upon reentry to the community but also to ensure that the CPS' knowledge, skills, and abilities align with professional and community standards for CPSs. Consistent with PADOC's mission, the bedrock of the CPS program is to ensure that Pennsylvanians returning to the community are better equipped with educational and professional skill sets than when they arrived.

Challenges to Integration

Although there were many initial *cultural* challenges with implementing an initiative of this scale and scope within a correctional setting, over time, CPSs within PADOC have become a valuable complement to existing clinical services. For many years now, CPS services have been offered to individuals housed in all PADOC settings on all 3 work shifts (i.e., 24 hours per day) and within high-security areas, such as restrictive housing, diversionary treatment units, and psychiatric observation cells. However, prior to implementing the program, PADOC needed to ensure that the safety of all persons inside the facilities would not be inadvertently jeopardized. To accomplish this utmost responsibility, PADOC policies, procedures, and the operational needs of individual facilities and the systems were reviewed, contemplated, and updated.

Over the past decade, as the CPS program progressed from conceptualization to implementation to evaluation, input from all levels and disciplines of DOC staff members was critical and continues to be fundamental to the future growth and progress of the program. PADOC's ground-up approach provided ongoing opportunities for input by DOC staff members and incarcerated persons along the way. For example, initial planning for CPS services delivered within a restrictive housing unit required security personnel to provide input into the many operational and procedural enhancements that were needed. A similar challenge was to determine how to safely allow for CPS service delivery within a secure setting like an SCI in general. In the community, CPS principles support choice, self-advocacy, and self-empowerment. However, in a correctional system, these principles can create conflict with efforts to ensure the safety and well-being of everyone, including DOC staff members and other incarcerated people. It was imperative for PADOC to adapt recovery principles diligently and safely for the unique correctional setting.

Pennsylvania Department of Corrections Embraces System-Wide Peer Support (continued)

Lessons Learned

With its successful ongoing integration of CPSs, PADOC realized many lessons learned. A few of these lessons are summarized below:

- There is likely not a one-size-fits-all approach when implementing a PRSS program. Whether
 considering a peer-based program in one facility or several facilities, it is critical to
 determine the specific need(s) that PRSS can address within the entire system as well as in
 individual facilities. Facilities should develop program goals based on identified individual
 and operational needs, while recognizing the unique structure and culture of the facility and
 industry.
- 2. One of the most prevalent challenges may likely be in aligning security operations with direct peer service delivery. Considerable attention should be paid to explicit details in this area and will greatly increase the chances of leading a successful program. It is imperative that frontline correctional staff members, including correctional officers and security staff members, have opportunities to contribute to the development of the program.
- 3. It is critical to identify administrative staff members who will lead and support the program. Across PADOC, administrators recognized the need to provide multiple avenues for supporting individuals living with substance use and co-occurring MH challenges and to enrich and diversify the multidisciplinary treatment process for vulnerable populations. They also recognized that CPSs promoted a cultural shift that improved the prison environment and encouraged other prison services to become more recovery oriented.
- 4. Creating a policy that delineates the "dos and don'ts" for the program through a multidisciplinary approach will increase the likelihood of success for the program. Through an annual review of policy—with multidisciplinary staff members and administrators— challenges, concerns, barriers, and successes are addressed, and subsequent changes are implemented.
- 5. Staff members that are dedicated to supervising the program daily are key to its success. CPS supervisory staff members should have direct access to prison leadership staff members (e.g., superintendents, deputy superintendents, and central office TA). These individuals should also have input into the development of policies and procedures and program adaptations and refinements.
- 6. Collaboration with a peer certification training vendor should be a priority. This may include cooperative work on adapting trainings and implementing training-of-trainers to maximize resources. This collaboration must include security personnel and treatment staff members to anticipate and address potential barriers to offering training behind the walls.
- 7. It is critically important to ensure that the boundaries of clinical MH and clinical psychological services delivered by qualified MH professionals are clearly delineated and differentiated from those services delivered by peers. Identifying and enforcing these boundaries will empower both groups of professionals and avoid any unintentional marginalization of either group.

Recently, PADOC's Psychology Office assumed oversight of the CPS program and has implemented several new enhancements to the program including: a biannual institution-by-institution CPS staffing analysis (i.e., similar to staffing analyses completed for professional DOC clinical staffing needs) for the purpose of identifying CPS service needs; ongoing development of a centralized, electronic community engagement unit (CEU) training library available to all SCIs; centralized and historical tracking of CPS credentialing within PADOC (so that staff members know who is a CPS, where they are currently located within the system, and the status of their certification); and the development and implementation of an *annual* centralized auditing process of CPS service delivery at all 24 SCIs.

Ensuring self-care is also an essential element of ongoing support. Being a peer specialist in secure settings can be stressful and challenging. This means providing resources for self-care. An effective peer program should include policies, practices, and procedures designed to acknowledge and recognize the importance of a self-care component for peers. Peers should be supervised by a trained peer supervisor who has received training in peer support, trauma-informed care, and the importance of wellness. Maintaining recovery is important in any type of peer engagement and even more so in a jail or prison environment. The availability of a wellness program through training, support options, and supervisory opportunities enriches the service's success and promotes a culture of wellness throughout the environment.

Additionally, peers should have an opportunity to participate in one-on-one supervisory sessions, peer group sessions, wellness training, and peer-led wellness groups and activities. An effective and comprehensive self-care strategy should include activities that promote physical, psychological, emotional, and spiritual aspects of one's life.

While working in a peer role during incarceration can improve personal behavioral health and emotional outcomes, taking on the burdens of others in a traumatic environment can take its toll over time. Whether the peer support worker is currently or formerly incarcerated, additional support is necessary to prevent retraumatization, vicarious trauma, and burnout.

ESSENTIAL PROCESSES

We have covered the core components, design factors, and drivers of success for the effective integration of PRSS in correctional settings. The final parts of the framework are the essential processes, shown in figure 5. These steps are like those for planning and launching any program; here, we examine the peculiarities of new PRSS.

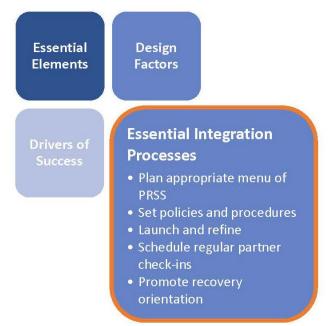


Figure 5. Essential Integration Processes

Prepare to integrate. This process provides a foundation for exploring staffing, workflow, decision-making, communications, and other practices and building a commitment to making the changes necessary for peer work to be effective. Key preparation tasks include conducting an organizational self-assessment, identifying the specific roles and expectations that the program has for peer staff members, clarifying where and how peer specialists will be integrated into the jail/prison staff structure (including medical and MH services), and negotiating roles and expectations of partners.

Select the initial site/group to be served. Define the scope of the program (start small). Set clear program goals and objectives to identify the criteria for measuring program success. Outline key activities. Decide on a length of time to try out the program, allowing sufficient time to observe both process and outcomes. Plan to collect and use data to support and inform program development and refinement. Use measurement tools that are recovery- and recovery capital-oriented rather than solely focused on abstinence or recidivism.

Plan an appropriate menu of PRSS. The overarching purpose of peer support is to help individuals build and sustain a life in recovery. The menu should include one-on-one supports (e.g., recovery capital assessment, recovery planning, recovery coaching), group supports (e.g., classes that all participants can benefit from, groups that further the recovery process), and connection to community-based recovery services and supports.

Set policies and procedures. Multiple organizations and systems working together to implement PRSS in jails and prisons require developing policies and procedures for all involved partners. Policies should reflect the organizational culture, which shapes the structure and functioning of a peer support program. While peer support approaches need to be tailored to the characteristics of a specific facility and its culture, it is also necessary to create new policies and procedures— and review and adapt existing ones—to guide the work of all staff.

Facilities should insert PRSS policies into existing jail and prison policies, evaluate current structure and workflows for alignment opportunities, and develop/adjust policies to reflect this partnered approach.

Launch and refine the program. Of course, the previous processes laid the groundwork for offering PRSS and beginning to collect data to assess the effectiveness of your processes and the outcomes of your PRSS program as designed.

Even with the best planning, you will need to refine your PRSS program to address unanticipated challenges, emerging needs, and lessons learned. Using a proactive process of thoughtful and deliberate adaptation to improve program fit or effectiveness involves careful consideration of what is to be modified, at what level, and at what scale, given the initial evaluation.

Schedule regular partner check-ins. It is important to build a process for partners and stakeholders to meet and review how things are going. This may need to be more frequent at the beginning of a new PRSS program but should continue throughout its life, as changes and adaptations often need to be made due to changing community and facility conditions. Include

both informal and formal partner check-ins, which help to (a) inform appropriate resource allocation, (b) identify potential problems and prevent them from escalating, and, as necessary, (c) make moderate adjustments or adaptations to workflows and roles of peers. It is an ongoing process of change and adaptation. Schedule frequent and structured forums for cross-system communication to address challenges through the collaborative development and continuous review of policies and procedures.

Promote recovery orientation. Recovery is not only an individual, personal transformation process; it happens within systems of care that are recovery-oriented and communities that are rich in recovery. Programs must identify community resources where participants may receive the support and services they require to sustain recovery. It is important to prepare community and corrections partners and stakeholders to do the institution- and community-focused work that will lay a pathway toward personal recovery. The better the understanding of recovery— and the role that PRSS can play in that process—the better the chances are for the successful launch and continuation of PRSS in jails and prisons. Existing programs that have peers embedded create a recovery culture. Some examples that programs use to promote a recovery environment include emphasizing recovery language through painted murals and quotes on walls, facilitating wellness classes, and training staff members in PRSS supervision, trauma, and self-care.

Conclusion

Incarcerated populations are both more likely to suffer from and be more vulnerable to MH and SUDs and violent and self-harm behaviors than the general population. Individuals who are incarcerated and living with SUDs face challenges both behind the walls and after release, particularly in making the transition back to the community. Peer support is a proven resource to address these challenges in both correctional and community settings to support recovery from SUD and MH conditions, prepare for release, and facilitate reentry.

The term PRSS refers to the wide array of non-clinical supports provided by peer recovery specialists. Five core values underlie PRSS programs: (1) recovery-oriented, (2) person-centered, (3) voluntary, (4) relationship-focused, and (5) trauma-informed. Peer recovery specialist is an overarching term that refers to persons with lived experience trained to support others along their path of recovery. The core competencies that peer specialists have bring core recovery values to life.

Successful integration of PRSS requires a thoughtful, deliberate approach to address the inherent tension between peer practice and conventional correctional approaches. Organizations need to understand the PRSS core values and use them to guide service planning and delivery. They may also need to realign correctional culture, policies, and procedures to create environments in which positive peer-to-peer interactions can occur. At the outset of a program, engaging multidisciplinary staff members in all facets of planning, implementation, and ongoing peer program progression can help mitigate challenges.

PRSS can be implemented effectively within correctional settings in partnership with health and prison services or in partnership with community-based agencies. Programs that give attention

to the four dimensions of the integration of PRSS—core components, design factors, drivers of success, and process steps—can speed the development of a quality, effective PRSS.

Recovery-oriented practices and approaches within correctional settings emerge over time. It is an evolving process of uncovering, articulating, and addressing the complex, multifaceted nature of integrating recovery principles into practice in secure settings. Through clear and consistent policies and practices, peer support can be a resource that promotes recovery among incarcerated individuals with SUDs, enhances the correctional environment, and provides a bridge for successful community reintegration.

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Tools and Resources

Tools

- 1. Peer Recovery Support Services Integration Checklists
- 2. Sample Policies and Procedures
- 3. Developing Your Job Description
- 4. Sample Job Descriptions
- 5. Sample Peer Working Agreement

Resources

- 1. Acronyms List
- 2. Addiction Peer Recovery Support Services State Medicaid Coverage and Certification Requirements
- 3. Additional Resources

TOOL 1. PEER RECOVERY SUPPORT SERVICES INTEGRATION WORKSHEET

The framework for integration of peer recovery support services (PRSS) has four elements: (1) core components of programs, (2) drivers of success, (3) design factors, and (4) essential processes. This worksheet focuses on the last three parts of the framework and their underlying components.

For each section, use the suggested guidance and questions below to help frame your thoughts about what is needed for the effective integration of peer support.

Section 1: Drivers of Success

Setting Vision

- Identify the purpose and goals of the peer program.
 - What is the overall purpose of your program?

• Why are you interested in adding PRSS to your mix of services?

Example:

The Pennsylvania Department of Corrections program was designed to complement existing peer support services offered in the community and mirror the training, certification, supervision, and ethics of community-based programs while preparing incarcerated individuals for community integration and workforce development.

Its aims were to supplement, increase, and enhance existing behavioral health services for incarcerated persons and to foster workforce development.

Cultivating Champions

- Identify the leaders and administrators whose buy-in is crucial for program success.
 - How do the goals for the peer program help to further the goals of organizational leadership?

• What value will the peer program add to the organization?

 Develop a core team that will work collaboratively on peer integration. The core implementation team will be champions of the program's vision, and its members will plan and coordinate all aspects of the program, including a mix of services, eligibility criteria, candidate selection, scheduling, ongoing training and support, supervisory coordination, and supervisory training requirements.

Use the following table to brainstorm the identification of potential core team members. When possible, include people with lived experience of recovery and community partners.

Category	Name	Unit/Affiliation	Contact Information
Corrections Leadership			
Frontline Security Staff			
Behavioral Health Staff			
Other			

Aligning Policy and Workflow with Peer Practice

The core philosophies of jail administration, substance use disorder (SUD) treatment provision, and peer practice need to be aligned. Use the table below to consider how well key policies, procedures, and workflows in your program support effective peer practice.

	Policy/Workflow	Supportive of Peer Practice?
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

Using the list in the previous table, identify the three items that are most crucial to review and revise. Use the space below to describe each in depth. Consider: What needs to change to make it more supportive of peer practice? Who needs to be involved in creating that change?

Priority policy/workflow #1

Priority policy/workflow #2

Priority policy/workflow #3

Engaging Others

It is important to engage community stakeholders, partners, and persons with lived experiences of incarceration, addiction, and recovery in the planning and implementation process in order to develop effective strategies and approaches tailored to your setting.

• Identify your key external stakeholders.

Type of Stakeholder	Already Involved	Not Yet Involved/ Need to Be Engaged	Contact
Funders and/or Grantors			
State Behavioral Health Agency			
Corrections Officials,			
Commissions on Crime/Delinquency			
Others			

Using the list in the above table, identify the three stakeholders that are not yet involved and that are most crucial to engage now.

• Identify important community partners.

Community Partner	Already Involved	Not Yet Involved/ Need to Be Engaged	Contact
Substance Use Disorder Treatment Providers			
Recovery			
Community Organizations			
Social Service Providers			
Justice Community			
Peers			
Others			

Using the list in the above table, identify the three partners that are not yet involved that are most crucial to engage now.

• Identify the correctional facility/facilities where the program will be piloted/demonstrated, or which facility will be the first start-up site(s). List them in the table below and answer the questions for each.

Tools and Resources

Site	Why This Site	Proposed Launch Date	Key Tasks to Prepare the Site for Project

For each site:

- Determine whether to use internal peers, external peers, or a mix.
- Identify how many peer specialists each facility needs.

Recommend 15 to 20 peers for every 1,500 incarcerated persons. If your facility has a mental health disorder or co-occurring disorders program, a minimum of 20 peer specialists is recommended.

- Determine where peers will provide services in each facility.
- Identify funding source(s)/funding mechanisms (e.g., grants, state/county funding).

Balancing Security/Perception of Risk and Recovery Perspective

Reflect on the <u>Guiding Principles of Recovery</u>, how they align with current facility practice, and how they will be incorporated into your program. Include the chain of command structure in discussions on program alignment. Use the worksheet below to consider how your program will reflect the principles.

Recovery Principle	How Program Will Adapt and Incorporate Principle
Recovery emerges from hope. The belief that recovery is real provides the essential and motivating message of a better future—that people can and do overcome the internal and external challenges, barriers, and obstacles that confront them. Hope is the catalyst of the recovery process.	
Recovery is person-driven. Self-determination and self-direction are the foundations for recovery as individuals define their own life goals and design their unique path(s) toward those goals. Individuals optimize their autonomy and independence to the greatest extent possible by leading, controlling, and exercising choice over the services and supports that assist their recovery and resilience.	
Recovery is holistic. Recovery encompasses an individual's whole life, including mind, body, spirit, and community. The array of services and supports available should be integrated and coordinated.	

Recovery Principle	How Program Will Adapt and Incorporate Principle
Recovery is supported through relationships and social networks. An important factor in the recovery process is the involvement of people who believe in the person's ability to recover; offer hope, support, and encouragement; and suggest strategies and resources for change. Through these relationships, people leave unhealthy and/or unfulfilling life roles behind and engage in new roles that lead to a greater sense of belonging, personhood, empowerment, autonomy, social inclusion, and community participation.	
Recovery is supported by addressing trauma. Services and supports should be trauma- informed to foster safety (physical and emotional) and trust and promote choice, empowerment, and collaboration.	
Recovery involves strengths and responsibility. Individuals have strengths and resources to serve as foundations for their recovery; they also have a personal responsibility to self- care and work toward recovery. Individuals, families, and communities have responsibilities to provide opportunities and resources to foster social inclusion and recovery.	
Recovery is based on respect. Community, systems, and societal acceptance and appreciation for people affected by mental health and substance use problems—including protecting their rights and eliminating discrimination—are crucial in achieving recovery. There is a need to acknowledge that taking steps toward recovery may require great courage. Self- acceptance, developing a positive and meaningful sense of identity, and regaining belief in oneself are particularly important.	

Section 3: Processes

Setting Policies and Procedures

Internal Peers Who Are Incarcerated

Use the checklist below to walk through the policies and procedures that need to be addressed before using incarcerated peers.

• Determine certified peer specialist (CPS) wage, hours of weekly work, and other aspects of employment.

• Identify eligibility criteria for peer candidates.

• Develop a peer candidate selection process.

• Determine training needs and certification needs. If your program includes a workforce development plan, it is important to evaluate if the state requires formal certification of peers. If so, the credentialing process and fees must be considered in the planning process. Identify an internal structure to oversee the program and describe it in detail.

Determine the frequency of supervision.

Identify project coordinator/supervisor.

• Develop policies and protocols, including expectations for staff members and inmates.

- 1. Identify how long CPSs may spend on a particular unit or program.
- 2. Determine disciplinary actions and procedures for levels of infractions. Sanctions may include a leave of absence, a suspension, misconduct(s), or termination.
- 3. Determine respite options for peer supporters who experience stressors due to their work or when they experience interpersonal concerns that limit their ability to provide peer support effectively.
- 4. Determine which staff disciplines can utilize peer support in programming and/or other services such as peer support in personal care or hospice units.
- 5. Differentiate the roles and scope of peer support and treatment staff members.
- 6. Determine peer support identification, such as name badges, colored t-shirts, etc.
- 7. Develop a plan to inform incarcerated persons about the peer support program. This may be through inmate channels, announcements, posters, handouts, and other marketing materials.

8. Determine how staff, especially security staff members, will identify peer specialists. An example may be posting pictures of the peer supporters in the officer security areas.

Training Peers

Regardless of whether your peer specialists are internal or external, they will need to be trained to fulfill their role in your facility.

Options for training:

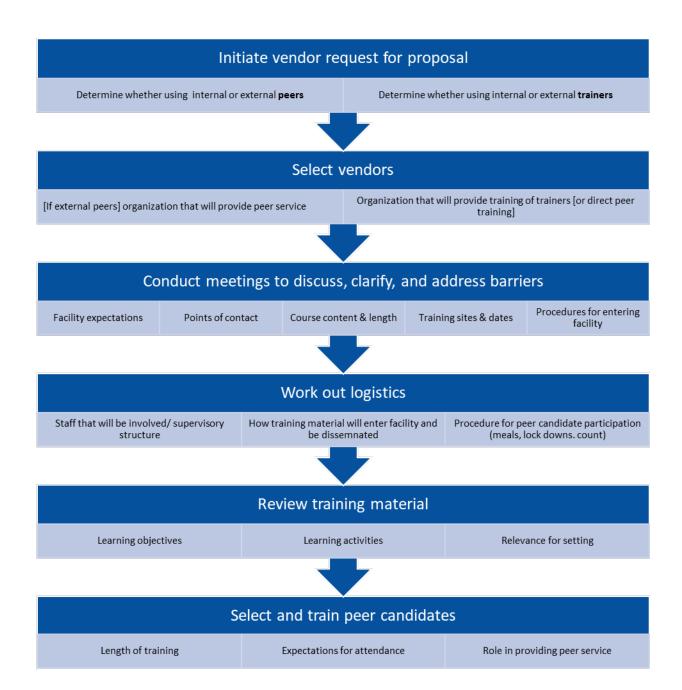
(1) Select an external training vendor who directly trains your peer specialists at regular intervals.

(2) Use internal instructors who go through a training-of-trainers program provided by an external vendor wherein the internal instructors then train the peer specialists using a standard curriculum provided by the external vendor.

(3) Develop an internal peer support curriculum. If your facility chooses this approach, be aware that the credentialing will likely not transfer to the community and thus will not allow for workforce development opportunities.

Example:

The Pennsylvania Department of Corrections partnered with a vendor to develop a cadre of in-house trainers, use the vendor's curriculum, and receive ongoing technical support through a user agreement contract. Use the flow chart below to identify tasks for selecting a training vendor.



TOOL 2. SAMPLE POLICY—PENNSYLVANIA DEPARTMENT OF CORRECTIONS

Section 15 – Certified Peer Specialist (CPS) Initiative

Α.	General Considerations	15–1
В.	CPS Candidate Selection	15–4
C.	CPS Training Program	15–5
D.	Supervisory Staff	15–7
Ε.	General Conduct	15–8
F.	CPS Guidelines for Level 5 Housing	15–9
G.	CPS Guidelines for Psychiatric Observation Cells	15–9

Section 15 – Certified Peer Specialist (CPS) Initiative

A. General Considerations

- 1. The purpose of the Certified Peer Specialist (CPS) initiative is to train select individuals from the *inmate* population to serve as CPSs.
- The certification process will afford all successful participants the opportunity to become a recognized CPS by the Pennsylvania Department of Human Services (DHS) and the Pennsylvania State Civil Service Commission (SCSC). Certification will provide the potential for employment in a peer support setting after release from a state correctional facility.
- 3. The CPS employment wage is .51 cents per hour. The work day can be between six to eight hours, dependent upon the needs of the facility. A CPS can be employed full or part time and does not have to give up his/her existing employment to work as a CPS. However, he/she must provide CPS services a minimum of 10-15 hours per week in order to maintain his/her CPS position.
- 4. CPS training will provide selected *candidates* with the skills required to act as mentors/role models for other *inmates* in specialized units and other places within a state correctional facility, such as:
 - a. visiting rooms;
 - b. Residential Treatment Units (RTUs);
 - c. Special Needs Units (SNUs);
 - d. Secure Residential Treatment Units (SRTUs);
 - e. Therapeutic Communities (Alcohol and Other Drug [AOD] TC);
 - f. Restricted Housing Units (RHUs);
 - g. Diversionary Treatment Units (DTUs);
 - h. Behavior Management Unit (BMU);
 - i. Psychiatric Observation Cells (POCs);
 - j. General Population housing units;
 - k. Diagnostic and Classification;
 - I. library;

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- m. Transitional Housing Units/Reentry Service Offices (THUs/RSOs);
- n. infirmary (to include the oncology and hospice wards);
- o. chapel;
- p. med lines;
- q. education center;
- r. commissary;
- s. dietary section;
- t. Veteran Service Units (VSUs);
- u. recovery units;
- v. Mental Health Units (MHUs);
- w. Capital Case Unit; and
- x. Forensic Treatment Center (FTC).
- 5. A CPS can be utilized to augment staff's efforts to effectively support *inmates with mental health or emotional concerns* thus enhancing their own recovery and wellness. In addition to *promoting recovery* skills, such as *personal wellness and positive coping skills*, a CPS can:
 - a. utilize his or her first-hand knowledge about mental health recovery to help his/her peers *in their recovery*;
 - b. demonstrate recovery in a way that inspires his/her peers and helps them to see their own potential for recovery;
 - c. assist his/her peers with the identification of their short and long-term goals and to subdivide those goals into manageable steps;
 - d. provide his/her peers with an opportunity to evaluate the choices and decisions that they make/have made;
 - e. demonstrate the value of self-determination and personal responsibility;
 - f. assist in establishing/maintaining a recovery environment within the facility setting that empowers others to succeed in accomplishing goals, reconnecting to themselves, reconnecting with others, and having purpose in life;

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- g. demonstrate the power of resilience;
- h. assist assigned *inmates* in understanding the grievance process; and
- i. assist his/her peers with shifting their focus from symptom management to recognizing and developing their wellness, their accomplishments, and their abilities.
- 6. CPSs are trained in the Copeland Center's Wellness Recovery Action Plan (WRAP©) Seminar I and should introduce WRAP© to those they support as a CPS. WRAP© is an evidenced based wellness practice to assist a person in his/her daily wellness and to prevent a crisis. When assisting an individual who chooses to develop a WRAP©, notebook paper, outlining the sections of a WRAP© may be used.
- In addition to providing individual services, a CPS may also facilitate workshops and didactic groups. The workshops provided by a CPS shall be periodically monitored by a member of the Unit Management Team and/or the Psychology Department.
- 8. CPSs selected by the CPS Committee may act as mentors to newly certified CPSs, CPSs who are on suspension or probationary status, and CPSs who are experiencing difficulty in their CPS capacity. Identification of mentors/mentees should be coordinated by a CPS Committee designee. CPSs serving as mentors must limit this role to CPS-related activity.
- The Unit Manager will post a notice of CPS facilitated workshop/group schedules on the bulletin board in his/her housing unit. An *inmate* interested in attending these sessions will submit a DC-135A, Inmate's Request to Staff Member in order to be scheduled for attendance.
- In addition to providing peer support, a CPS may be asked to address specific concerns assigned by his/her supervisor(s). The Licensed Psychology Manager (LPM), CPS Supervisor(s), or Unit Manager will be available to the CPS for consultation and assistance as needed.
- 11. Each facility shall develop an identification (ID) badge that acknowledges the *inmate* is a CPS. This ID badge shall be worn/carried along with the inmate ID at all times while performing CPS services. *The recommended badge is the cell door card and will state that the inmate is a CPS, work assignments, and will be signed by the Deputy Superintendent for Centralized Services (DSCS)/designee.*
- 12. Photos of CPSs shall be placed in the control center on the housing unit so staff are aware of which CPSs are assigned to a particular unit.
- 13. Photos of CPSs shall be placed on the unit so that residents of the unit are aware of who the CPS is for that particular unit.

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B. Certified Peer Specialist (CPS) Candidate Selection

- A candidate shall be selected via a DC-46, Vote Sheet process. To be considered for selection as a CPS candidate, he/she must meet specific criteria:
 - a. must be a custody level two or three;
 - b. must be misconduct free for a minimum of one year (misconducts will be reviewed by the CPS Committee);
 - c. have no misconducts for assaultive behavior in the last two years;
 - d. have no history of substantiated allegations of institutional sexual abuse or sexual harassment; and not found to be at high-risk of being sexually abusive via the Department's risk screening pursuant to Prison Rape Elimination Act (PREA) standard §115.41. PREA standard §115.42 requires that information from the risk screening process inform housing, bed, work, education, and programming assignments with the goal of keeping separate inmates at high risk of being sexually victimized from those at high risk of being sexually abusive. Any individual identified at high risk of being sexually abusive through the most recent risk screening score shall disqualify an individual from serving as a CPS;
 - e. a history of mental health treatment/services while incarcerated and/or in the community. *B Roster candidates may be considered only if a mental health diagnosis during incarceration or while in the community has been validated.* Steps to obtain community information is required prior to selecting a candidate identified as *B* Roster stability; it is the inmate's responsibility to obtain this information and present it to the CPS Supervisor;
 - f. program compliancy. If a candidate has refused to participate in programming, he/she is ineligible;
 - g. recommended by the LPM and/or Psychiatric Review Team (PRT);
 - h. if the *candidate* is on the AOD TC waiting list, his/her potential start date for AOD TC may not begin before the conclusion of his/her CPS training and he/she must be permitted to provide CPS services while in the TC;
 - i. have more than *three* years remaining on his/her *minimum release date*, unless he/she qualifies under the Long-Term Offender (LTO)/lifer criteria listed below;
 - j. have a high school diploma or General Education Diploma (GED);
 - k. if the *candidate* is a LTO/lifer with positive adjustment records, he/she may be given consideration and the requirement for high school diploma/GED may be waived; and

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- I. each State Correctional Institutions (SCI) shall determine the number of LTOs, but should not have a majority of LTOs as CPSs.
- The Facility Manager/designee shall designate the maximum number of LTO/lifers on the CPS Roster at his/her facility. D Roster facilities should have a minimum of 30 CPSs. Non-D Roster facilities should have a minimum of 20 CPSs.
- 3. The CPS complement shall be supervised by staff that are designated by the Facility Manager/designee. If a facility determines there is an increased need for additional CPSs, a request shall be made to Office of Mental Health Advocate (OMHA)/ designee.
- Staff designated by the Facility Manager/designee will review *inmate* records to identify potential participants in the program.
- 5. When a CPS worker is transferred to another SCI, the sending SCI CPS supervisory staff should inform the CPS supervisory staff at the receiving SCI of any information pertaining to his/her status as a CPS and any other important information, including security concerns.
- 6. Parole Violators (PVs) returning to an SCI who have a valid CPS certification may request employment. The CPS Supervisor(s)/designee shall verify certification of the certification and continuing education requirements prior to approving CPS employment status. CPS employment for PVs is determined on a case-by-case basis; employment as a CPS will not begin until 60 days after a return to an SCI and approval from the CPS Committee.

C. Certified Peer Specialist (CPS) Training Program

- 1. The CPS Training Program is designed to provide selected *inmates* with basic educational training on the following topics:
 - a. mental health recovery;
 - b. the philosophy and practice of the power of peer support;
 - c. the development of self-esteem and managing self-talk;
 - d. community, culture, and environment;
 - e. emotional intelligence;
 - f. employment as a path to recovery;
 - g. substance *misuse*;
 - h. conflict resolution;

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- i. suicide prevention;
- j. working with other professionals; and
- k. trauma informed approach to peer support.
- Select Department staff who are certified by an approved vendor through the DHS Office of Mental Health and Substance Abuse Services will deliver the CPS core certification training. All requests for training shall be routed through OMHA/designee at Central Office.
- 3. A selected candidate may not miss more than six hours of the CPS certification training and may only miss six hours if approved by the instructor and/or CPS Supervisor(s) prior to the date of the anticipated absence. The CPS candidate must make up any missed work under the direction of the CPS training facilitator(s). All selected candidates must also participate in classroom activities and must successfully complete the mid-term and final exam.
- The CPS training instructor has the discretion to *disqualify* a CPS student if there are indications that the student is unable to fulfill the requirements of the course, such as classroom exercises, homework assignments, and fidelity to the CPS model.
- 5. Any student observed cheating on the exam will be *disqualified* from the class and unable to continue. *He/she* may apply to be a CPS candidate at a later date, *but not less than one year of removal from the original class.* Approval *to be considered for future CPS certification training* will be determined by designated facility staff.
- A candidate who receives the certification and signs the CPS Confidentiality Acknowledgement Form (Attachment 15-A) may be utilized as a CPS at an assigned facility.
- 7. Once certification is completed, all CPSs will sign the CPS Orientation Checklist (Attachment 15-B) which will be maintained by the CPS Supervisors.
- 8. All CPSs must earn at least 18 continuing education hours per year to maintain his/her state certification. Training topics must primarily focus on wellness, life skills, boundaries, and other related topics. Code of Ethics and PREA Level 2 Contractors/Volunteers training is mandatory for all CPSs. In accordance with Department policy DC-ADM 008, "PREA" the Contractors/Volunteers PREA Training (Attachment 2-G) shall be utilized for this training, which shall be documented under the "Other" group of the PREA Training and Understanding Verification Form (Attachment 2-F). Weekly or monthly meetings should not be counted as education hours.
- 9. Continuing education will be facilitated by facility staff, CPSs, or volunteer facilitators as approved by the facility staff and will include:

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- a. review of modules from the original certification training annually; and
- b. wellness topics, life skills, and other recovery topics.
- 10. CPS Supervisor(s)/designee should be present during continuing education workshops as often as possible.
- 11. Training facilitated by external facilitators must be supervised at all times by facility staff.
- 12. A CPS must report for the scheduled workshops/groups during the designated time.
- 13. All CPSs completing the annual education requirements will receive a CPS Continuing Education Certificate (Attachment 15-C) at the end of the calendar year verifying completion of the requirement.
- D. Supervisory Staff
 - 1. All SCIs will convene a CPS Committee which will consist of, but is not limited to Unit Management, Psychology, Security, Deputy, Training Sergeant/Lieutenant, and Counselor.
 - 2. All SCIs will have a minimum of two certified CPS Supervisors. CPS Supervisors shall:
 - a. provide guidance, support, and supervision of CPS workers;
 - b. oversee daily operations of the CPS program; and
 - c. meet with the CPS Committee quarterly; minutes will be taken of each meeting and submitted to OMHA within two weeks of the meeting.
 - Operation of the CPS program should be facilitated as a committee whose members, including treatment and security staff, are united and equal in their decision-making authority.
 - A designated *committee* member shall function as the primary CPS Coordinator who develops all CPS schedules and on call schedules/*needs*, *work assignments*, and coordinates CPS Committee meetings.
 - 5. CPS Supervisor(s)/designee will track the 18 required continuing education hours on the CPS Training Tracking Form (Attachment 15-D) to include the CPSs name and number, his/her CPS state certification number which appears on his/her training certificate, the topic of the training, how many hours the session spanned, and the date the session was facilitated. Continuing education hours correlate to the calendar year, January through December. The CPS Training Tracking Form shall be forwarded to OMHA at Central Office each year by December 31.

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6. The facility CPS Coordinator/designee will provide an informational overview of the CPS program, titled "CPS Defined Power Point" (Attachment 15-E) to all staff assigned to areas where CPSs are utilized. This overview will include familiarization with the roles, responsibilities, and expectations of a CPS to include specific training received by a CPS in suicide prevention. Other benefits of the program, such as providing support to inmates with mental illness and assistance in de-escalating situations, will be addressed during the overview as well as identifying the facility CPS Supervisor for staff to contact with any additional questions or concerns.

E. General Conduct

- When providing one-on-one peer support, a Daily Contact Record Book will be maintained by the CPS and will be turned into the CPS Supervisor(s) at the end of the week that the peer support takes place. The Daily Contact Record Book will be provided to all SCIs from OMHA.
- All information shared in peer sessions is to remain confidential except when threats of suicide or expressions of intent to harm others are verbalized and/or witnessed, when there is a threat to the security of the SCI, and disclosure and/or witnessing of sexual abuse or harassment.
- The CPS statewide certification includes CPSs as para-professionals who have a "duty to warn." In these circumstances, the CPS shall report such threats and expressions to staff immediately. (The CPSs are informed of this duty during their training and confirm their agreement to do so by signing the CPS Confidentiality Acknowledgement Form.)
- Abuse of *position*/privileges by a CPS shall be reason for suspension/termination from the program.
- 5. A CPS may be terminated for a breach of confidentiality.
- Every CPS is subject to random urinalysis testing, in accordance with Department policy 6.3.12, "Drug Interdiction." If a CPS refuses to random urinalysis, he/she may be terminated from CPS employment.
- A CPS and the *inmates* attending such workshops/groups are subject to monitoring at any time by Department staff. Staff will provide intervention as needed.
- A CPS may be suspended or placed on probationary status at the discretion of the CPS Committee or subject to termination if he/she receives any Class 1 misconduct (assault, fighting, sexual misconduct, possession of drugs) and/or is a threat to the security of the SCI.
- 9. Termination of CPS employment will result in the *inmate* being rendered ineligible for rehire as a CPS and training opportunities related to maintaining CPS certification.

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- A CPS may receive a Letter of Recommendation (Attachment 15-F) from the multidisciplinary team and/or the immediate supervisor for use upon release for employment purposes.
- F. CPS Guidelines for Level 5 Housing
 - 1. When assigning a CPS to the RHU, DTU, or SRTU, a CPS should be informed of the assignment and that he/she will be required to be strip searched upon entering the unit and at any time there is a security or safety concern. If a CPS refuses to follow this procedure, he/she is ineligible to work on these units and may be subject to CPS status review.
 - 2. CPSs who work on a level 5 housing unit should be rotated periodically. Rotation should be determined by the CPS Supervisor(s)/designee.
 - 3. CPSs shall provide services on the RHU/DTU during all three shifts daily and shall be informed of new receptions so they can conduct a check in with the new reception. It is a priority to have a CPS on second and third shifts.
 - a. A CPS shall provide services on the RHU/DTU throughout the 6:00 AM-2:00 PM shift.
 - b. A CPS shall make rounds during the 2:00 PM-10:00 PM and 10:00 PM-6:00 AM shift and visit each person who has arrived within the last seven days as soon as possible. The CPS should make contact with every individual regardless if there has been indication of suicidal ideation or not. It is not the expectation that a CPS be assigned for the duration of second and third shifts.
 - 4. CPSs shall be on call to provide services to inmates in a level 5 unit.
 - 5. CPSs will be visually supervised by level 5 staff at all times.
 - 6. CPSs will not give or receive any item to/from an inmate without staff permission.
- G. CPS Guidelines for Psychiatric Observation Cells (POCs)
 - 1. CPSs shall provide services in the POC area during all three shifts daily and shall be informed of new receptions so they can conduct a check in with the new reception. It is a priority to have a CPS on second and third shifts.
 - 2. A CPS shall provide services in the POC area throughout the 6:00 AM-2:00 PM shift.
 - 3. A CPS shall make rounds during the 2:00 PM-10:00 PM and 10:00 PM-6:00 AM shift and visit each person who has arrived as soon as possible. It is not the expectation that a CPS be assigned for the duration of shifts.
 - 4. CPSs shall be on call to provide services to inmates in a POC. 15-9

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- 5. CPSs shall be visually supervised by staff at all times.
- 6. CPSs shall not give or receive any item to/from an inmate.

TOOL 3. DEVELOPING YOUR JOB DESCRIPTION

Peer recovery support services (PRSS) cover a wide range of potential programming and possible roles, tasks, and responsibilities for peer recovery support specialists. Although you need to develop a job description specific to your program, you do not have to start from scratch. Existing competency lists and job descriptions from other programs can be useful in crafting your unique description. The Substance Abuse and Mental Health Services Administration's (SAMHSA) <u>Core</u> <u>Competencies for Peer Support Workers in Behavioral Health Services</u> is a good place to start. Be specific when determining what your peer specialists can and cannot do.

Example from one prison program: Peer Specialists:

- Augment staff efforts to effectively support inmates with behavioral health or emotional concerns while enhancing their recovery and wellness.
- Promote recovery skills, such as personal wellness and positive coping skills, and assist in establishing/maintaining a recovery environment within the facility setting.
- Assist others by empowering others to succeed in developing and accomplishing goals, reconnecting to themselves, self-improvement, connecting/reconnecting with others, and finding meaning and purpose.
- Offer the ability to demonstrate employable skills transferable to community peer support programs.
- Facilitate workshops and didactic groups, which a staff member shall periodically monitor (determine which discipline).

Act as mentors to newly certified peer specialists (CPSs), CPSs who are on suspension or probationary status, and CPSs who are experiencing difficulty in their CPS capacity. Any peer specialists identified as having mentoring skills should be informed that mentoring another peer specialist should be limited to this role.

Use the steps below to identify the job-specific requirements for your peer specialists.

• What are three words or phrases that you would use to describe the general role that you expect your peer support workers to play?

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- Review the SAMHSA core competencies. Select two to four categories from the list that best fit or are most important for peer support workers' roles in your program.
- For each category selected, choose one to two underlying competencies that are priorities for your program.

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• Under each category, consider if there are additional competencies or tasks specific to your program that are not on the list. If so, add these to your list.

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4.	

TOOL 4. SAMPLE JOB DESCRIPTIONS

Certified Recovery Specialist

Qualifications

GED/high school diploma; three letters of recommendation; must have worked within the last 3 years with at least 12 months total of part- or full-time paid or voluntary work experience; and have acquired or met the qualifications for certification as a certified recovery specialist. Must understand and demonstrate respect for each individual's unique path to recovery. Must have a working knowledge of the substance use disorder treatment system and a demonstrated commitment to the recovery community.

The recovery specialist's role is to support others in recovery from a substance use disorder. The recovery specialist will serve as a role model, mentor, advocate, and motivator to recovering individuals to help prevent the return to substance use and to promote long-term recovery. The recovery specialist must demonstrate an ability to share personal recovery experiences and develop authentic peer-to-peer relationships.

Duties and Functions

- 1. Maintain project logs, reports, and records in appropriate files and database(s).
- 2. Provide recovery education to participants for every phase of the recovery journey, from pre-recovery engagement to recovery initiation, recovery stabilization, and sustained recovery maintenance.
- 3. Provide a model for both people in recovery and staff members by demonstrating that recovery is possible.
- 4. Assist recovering persons to identify their personal interests, goals, strengths, and weaknesses regarding recovery.
- 5. Assist/coach people in recovery to develop their plan for advancing their recovery.
- 6. Recovery planning: facilitate (via personal coaching) the transition from a professionally directed service plan to a self-directed recovery plan. The goal should be to transition from professionally assisted recovery initiation to personally directed, community-supported recovery maintenance.
- 7. Promote self-advocacy by assisting people in recovery in having their voices heard and their needs, goals, and objectives established as the focal point of rehabilitation and clinical services.
- 8. Actively identify and support linkages to community resources (communities of recovery, educational, vocational, social, cultural, spiritual resources, mutual self-help groups,

professional services, etc.) that support the recovering person's goals and interests. This will involve a collaborative effort including the recovering person, agency staff, and other relevant stakeholders.

- 9. Support connections to community-based, mutual self-help groups. Link individuals to appropriate professional resources when needed. Provide vision-driven hope and encouragement of opportunities at varying levels of involvement in community-based activities (e.g., work, school, personal relationships, physical activity, self-directed hobbies, etc.).
- 10. Develop relationships with community groups/agencies in partnership with others in the agency.
- 11. As the recovery specialist position evolves and knowledge increases, visit community resources with recovering persons to enable them to become familiar with potential opportunities.
- 12. Identify barriers (internal and external) to full participation in community resources and assist in developing strategies to overcome them.
- 13. Maintain contact by phone and/or e-mail with recovering persons after they leave the program to ensure their ongoing success and to provide re-engagement support in partnership with others in the agency if needed. Provide long-term engagement, support, and encouragement.
- 14. Perform other duties as directed by the executive director or management staff members.
- 15. Develop, implement, and promote ongoing community training opportunities.
- 16. Work with staff members and other community professionals to implement and promote recovery-oriented training programs and opportunities.

Certified Recovery Peer Advocate

Certified recovery peer advocates (CRPAs) assist individuals in recovery from addiction by setting and supporting the pursuit of their recovery goals, monitoring their progress, lending assistance with treatment, modeling effective coping techniques and self-help strategies, and supporting individuals in advocating for themselves to obtain effective services.

Education Requirements

Minimum high school diploma or equivalent. Must meet education and other baseline criteria for certification as a certified recovery peer advocate or provisional CRPA.

Experience Requirements

A certified recovery peer advocate, if in recovery, must be at least two years in recovery and highly motivated to help others. Must be able to work cooperatively as a member of a team of professionally trained clinicians and counselors. Must possess knowledge and experience in accessing local resources, such as housing, medical, and social services. Should have a working familiarity with 12-step programs and an understanding of wellness and recovery principles and behaviors. Candidates must have reliable access to transportation.

Principal Duties and Responsibilities

- Engages with individuals to offer living proof of the transformative power of recovery.
- Exhibits faith in clients' capacity for change and celebrates their recovery achievements.
- Encourages the client's self-advocacy and economic self-sufficiency.
- Genuinely cares and listens to the client and can be trusted with confidence.
- Facilitates the transition from a professionally directed treatment plan to a clientdeveloped recovery plan and assists in structuring daily activities around this plan.
- Helps resolve personal and environmental obstacles to recovery.
- Assists with linking individuals with sources of sober housing, recovery-conducive employment, health and social services, and support groups (e.g., mutual support or 12-step).
- Serves as a sober companion accompanying individuals to appointments with legal, medical, and social service entities.
- Cultivates opportunities for people in recovery to participate in volunteer activities and performs other acts of service to the community.
- Facilitates agency-based peer support groups.

Other Responsibilities

Complies with agency policies and procedures. Attends trainings, seminars, etc., to increase skill level. Promotes the safety of all patients and staff. Contributes to the achievement of organizational goals. Participates as an active and supportive member of the treatment team.

Peer Support Specialist/Harm Reduction Outreach Worker

Program description

Provides direct services to people who use drugs (PWUD) and other marginalized groups at high risk for overdose, Hepatitis C, HIV, overdose, and other chronic health conditions. Prevents the spread of infectious disease and overdose fatalities by offering lifesaving supplies to directly-impacted people. Follows up with individuals who have experienced overdose for education, access to risk reduction services, and referrals to care as needed.

Provides services and support to individuals and friends/family/bystanders who experience and/or witness an opiate overdose. These services include harm reduction services, referral services, support services, and knowledge of payor sources and systems. Through these efforts, the peer support specialist will assist in placing individuals in recovery-oriented programs, including but not limited to syringe exchange programs, detox facilities, and inpatient and outpatient treatment facilities.

Description of duties

- Establish mobile service sites for syringe services programs.
- Coordinate mobile services for program participants.
- Do regular outreach to individuals and geographic areas within counties affected by drug use and/or sex work to connect them to the program.
- Respond to dispatched overdose calls in the county while on duty.
- Provide individualized harm reduction education to participants on overdose prevention, use of naloxone, Hepatitis C virus, sexually transmitted infections (STIs), HIV risk reduction, and safer drug use.
- Provide program members with community resources and help connect them to local services.
- Conduct follow-up visits to individuals who have experienced an overdose.
- Train community members on local overdose reversal protocol and offer harm reduction resources and care options.

- Distribute naloxone kits to populations in need.
- Follow up with individuals who experience an opiate overdose via phone call or in-person visit.
- Maintain up-to-date knowledge of local resources, including health care, treatment, and social services providers.
- Track data meticulously and report all program interactions to supervisors as well as naloxone distribution data, outreach interactions, and referrals to care.
- Participate in staff meetings and in-person training sessions on best practices in syringe service program operations and harm reduction.
- Collaborate with community-based organizations and county emergency medical services (EMS) to evaluate programs.

Requirements

- Applicants must have a valid driver's license, reliable transportation, and appropriate auto insurance for this position. Applicants must also have a smartphone with a data plan to receive active 911 notifications.
- Possesses the ability to work as a team member, accept constructive criticism, and provide input.
- Able to work effectively with all public service agencies, including EMS, fire, and law enforcement personnel.
- Willing to work with community agencies to link participants to wraparound services as needed.
- Must be able to attend CPR training and become CPR certified.

Knowledge and experience

Minimum of three years of experience as a certified peer support specialist with community outreach experience preferred.

Must have a working knowledge of:

- Harm reduction framework.
- Strategies and approaches for effective peer recovery support.
- Geographic area.

- Drug use, syringe service programs (SSPs), Hepatitis C, STIs, and HIV/AIDS services.
- Local treatment facilities, including those that provide medication-assisted treatment (MAT).

Must be able to:

- Establish and maintain ethical relationships with SSP participants.
- Appropriately discuss and share social, sexual, and other personal issues and experiences with individuals and groups.
- Demonstrate strong communication skills and self-direction.
- Maintain professionalism in the face of a medical emergency.
- Use appropriate and respectful approaches with people who use drugs, people who do sex work, people living with HIV or Hepatitis C, currently and formerly incarcerated people, the LGBTQIA community, and individuals from different racial and ethnic backgrounds.
- Maintain strict confidentiality and treat all participants non-judgmentally and with warmth and positive regard.
- Work flexible hours.
- Work autonomously.

Education/certifications required:

- High school diploma or GED.
- Peer support specialist certification.

TOOL 5. SAMPLE PEER WORKING AGREEMENT

Source: Adapted from Recovery Coach University

This sample agreement clarifies roles and expectations and is a collaborative agreement between the peer specialist and the individual served. It should be adapted as needed to fit the agency/peer role and should be approved by a supervisor/agency management before being used.

We agree to the best of our abilities to uphold these agreements and seek supervision/support when needed. We strive to enjoy an open, transparent, honest, empowering, strengths-based, and mutually satisfying peer-to-peer relationship that honors each person's autonomy of choice, recovery pathway, values, and strengths.

	Recovery Coach Preference	Peer Preference
Phone Number		
Meeting Location/Address		
Meeting Duration		
Number of Meetings Per Week/Month		
Calls/Week (Max)		
Length of Calls (Max)		
Beginning and End of Workday (and Availability)		
After-Hours Calling/Emergency Plan		
Social Media Policy		
Emergency Contact		
Other		
Other		

Additional needs/preferences for ensuring an effective, safe, and mutually respectful working relationship:

Together we agree to do our best to honor these working agreements.

Peer/Recovery Coach	Peer/Individual Served
Name:	Name:
Signature:	Signature:
Date:	Date:

RESOURCE 1. ABBREVIATIONS AND ACRONYMS LIST

AA	Alcoholics Anonymous
PRSS	peer recovery support services
RSAT	residential substance abuse treatment
SUD	substance use disorder
ACCRSC	Albany County Corrections and Rehabilitative Services Center
ACHR	Albuquerque Center for Hope & Recovery
ADA	Americans with Disabilities Act
BH	behavioral health
СВО	community-based organization
CBT	cognitive behavioral therapy
COD	co-occurring mental health and substance use disorder
CPRS	certified peer recovery specialist
CPS	certified peer specialist
CRPA	certified recovery peer advocate
DBHS	Department of Behavioral Health Services
DBT	dialectical behavior therapy
DEA	U.S. Drug Enforcement Administration
DOC	Department of Corrections
GED	General Educational Development
HARP	health action and recovery plans
HIPAA	Health Insurance Portability and Accountability Act
IC&RC	International Certification & Reciprocity Consortium
LGBTQIA	lesbian, gay, bisexual, transgender, queer/questioning, intersex, asexual

Tools and Resources

MAT	medication-assisted treatment/medications for addiction treatment
МН	mental health
MHA	Mental Health America
MH(D)	mental health (diagnosis)
NA	Narcotics Anonymous
NAADAC	Association of Addiction Professionals
NCPRSS	National Certified Peer Recovery Support Specialist (a credential offered by NAADAC)
PADOC	Pennsylvania Department of Corrections
PRSS	peer recovery support services
RCO	recovery community organization
RFP	request for proposals
ROSC	recovery-oriented system of care
SAMHSA	Substance Abuse and Mental Health Services Administration
SOR	State Opioid Response -
SUPPORT Act	Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment Act (for patients and communities)
тс	therapeutic community
WHAM	Whole Health Action Management
WRAP	Wellness Recovery Action Plan
WTSP	Western Tennessee State Penitentiary

RESOURCE 2. ADDICTION PEER RECOVERY SERVICES STATE MEDICAID COVERAGE AND CERTIFICATION REQUIREMENTS

Distinctions: Peer and Recovery Community Support

For at least 75 years, people in recovery from alcohol and other drug addiction have relied on connections with others in recovery for support to achieve their mutual recovery goals. Involvement in and expansion of these informal support networks are critical components of recovery success.

All 12-step fellowships and many other recovery community groups are influenced by the 12 Traditions of Alcoholics Anonymous (AA), founded in 1935. One of the strongest traditions is voluntary service to others seeking recovery. People in long-term recovery function without authority or the expectation of remuneration. Upon request, they may offer suggestions based on their own experiences or function as a sponsor. Ironically, the word peer and its connotations are seldom used in recovery circles.

Many religious congregations also offer faith-based recovery support and groups. Recovery community centers offer fellowship, meetings, and other activities in some areas. SMART Recovery, Women for Sobriety, AA, NA (Narcotics Anonymous), and other peer recovery support are available online free of charge.

These activities do not constitute treatment any more than support groups for people with diabetes constitute interventions to regulate insulin. However, attending treatment along with AA or NA meetings has been shown to be more effective than treatment alone (Sheedy and Whitter, 2009). The foundational principles that guide these fellowships also distinguish them from the emerging field of professional peer recovery support services (PRSS).

Recovery Support Services

Individuals with lived recovery experience may also decide to pursue training and/or certification to qualify them for paid positions as recovery support specialists. They may function as mentors, recovery coaches, health educators, or navigators. Such individuals generally do best when they have boundaries in place to distinguish what they do to manage their own recovery from their professional roles.

Peer recovery specialists can provide invaluable support to individuals in custody settings. They can also offer post-release support when these individuals re-enter the community. It is crucial to have appropriate policies in place for success in criminal justice settings. For example, it is important to clarify the limits of confidentiality and ensure peer specialists effectively communicate those limits. It is usually counter-productive to place excessive monitoring or reporting demands on peer support specialists, which can compromise their effectiveness by diminishing their status as "peers."

Recovery Capital

Recovery capital refers to the totality of beneficial resources available to an individual that can work toward recovery objectives. Some people can count on the support of an intimate partner, family, employer, or friend who has encouraged attempts to stop using, while others either cannot look to or do not have any of those sources of support. In such cases, increasing recovery capital is essential.

Supportive Services

In addition to personal relationships and informal networks of support, social determinants also impact recovery. Many community-based agencies offer these essential, non-clinical supportive services. Access to safe and drug-free housing, education, gainful employment, and health care is essential to recovery, as is the pursuit of drug-free interests and leisure activities.

Medicaid Coverage of Peer Support Service

The Center for Medicare & Medicaid Services (CMS) has recognized the role of peer support services in comprehensive behavioral health care and has a long history of reimbursement for peer mental health services. However, the value of peer addiction recovery support services has become more widely recognized, especially as communities impacted by the drug overdose crisis strive to increase access to care. CMS allows states many options for authorizing reimbursement of PRSS through state Medicaid plans or different types of CMS-approved waiver programs.

Section 1905 (a) (13) Authority—This part of the Social Security law allows approved state Medicaid plans a <u>rehabilitative services option</u> for reimbursement of certain non-clinical supportive services for beneficiaries with SUD. Examples include peer recovery support, supported employment or skills training, recovery housing, or transportation.

Section 2703 of the ACA—The Affordable Care Act (ACA) allows state Medicaid plans to include a <u>Health Home option</u> to coordinate primary, acute, and behavioral health care for people with multiple chronic conditions. Health Homes may offer recovery support as a part of covered bundled services for beneficiaries with SUD. More than half of the 22 states using the Health Home option target SUD.

Section 1915(b) or (c) Waiver Authority—These can waive freedom-of-choice requirements and <u>allow states to mandate enrollment in Medicaid-managed care</u> or primary care case management (PCCM) if they demonstrate managed care is cost-effective, efficient, and consistent with Medicaid principles. Any cost savings are to be used to expand services.

Section 1115 Waiver Authority—Section 1115 waivers allow states the flexibility to demonstrate innovations that can waive certain Medicaid rules. CMS has approved or is considering <u>1115</u> waivers to transform behavioral health and addiction treatment services for 24 states; nearly all include reimbursement for PRSS.

Other mechanisms—Options for authorizing reimbursement for peer recovery services are expanding. In 2010, Section 1915(i) of the Social Security Act was amended to include home and community-based rehabilitative services (HCBS) for people with disabilities, mental illnesses, or SUDs who might otherwise require institutional care.

More recently, the American Rescue Plan Act of 2021 incentivized rehabilitative HCBS and encouraged the adoption of <u>mobile community-based crisis services</u> for beneficiaries with mental health disorders or SUDs by increasing the federal contribution to costs. Mobile crisis services may deliver interventions to help people avoid hospitalization and incarceration. PRSS are specified as a reimbursable element of mobile crisis services.

Requirements for Coverage of Peer Support Services

In 2007, <u>CMS released a letter to state Medicaid directors</u> with guidance for authorizing reimbursement for PRSS. States have the flexibility to choose the authority by which they cover and reimburse for services as long as they identify it and describe the services, the providers, and their qualifications. The following are the minimum federal requirements for supervision, care coordination, training, and certification:

Supervision: A competent mental health professional (as defined by the state) must provide supervision that complies with State Practice Acts in amount, duration, and scope appropriate to the level of competency, experience, and the service mix.

Training and Certification: Peer support providers must complete training and certification as defined by the state to equip them with the basic competencies necessary to perform their functions. Like other provider types, ongoing and continuing education requirements must be in place.

Care Coordination: Services must be coordinated within the context of a comprehensive, individualized plan of care that includes specific individualized goals that have measurable results.

The SUPPORT Act of 2018 required the U.S. Government Accountability Office (GAO) to complete a <u>report on peer support services under Medicaid</u> that recently became available. The GAO report found that 37 state Medicaid programs covered PRSS for adults with SUDs as a stand-alone service for beneficiaries. At least three additional states covered PRSS with limitations—as part of a treatment or treatment team. Medicaid covers peer recovery services in both expansion and non-expansion states.

Mental health peer services have a longer history with Medicaid and are more likely to be covered. In some cases, reimbursement for mental health peer support services has been tied to psychiatric hospitals or community mental health centers; in other cases, addiction peer support services have only been covered for individuals with co-occurring mental health disorders and SUDs. Recently, several states have increased training and continuing education requirements for certification as a PRSS and are offering advanced or specialized credentials.

The information below is currently based on the 2020 GAO report and other publications that reviewed state Medicaid programs between 2018 and 2020 but may omit very recent developments. It is best to consult individual state Medicaid websites for the most up-to-date information on coverage of PRSS. States listed below may also fund PRSS with state revenues, Federal Access to Recovery funds, or other sources instead of or in addition to Medicaid.

State	Title	Certification	Time in Recovery?	Medicaid Authority
Alabama	Recovery Support Specialist	Alabama Dept of MH 40-hr. training + passing test score (70%)	2 yrs.	Covered in-state plan under rehabilitative services
Alaska	Peer Support Specialist (plus sub- specialties)	Alaska Commission for BH Certification 80 hrs. training, 1000 hrs. experience + 25 hrs. supervision.	1 yr.	Covered in-state plan under rehabilitative services
Arkansas	Peer Recovery Specialist	Arkansas works with NAADCA to certify; 46 hrs. approved training, 500 hrs. experience = 25 hrs. supervision	2 yrs.	Covered in-state plan under rehabilitative services
Arizona	Peer and Recovery Support Specialist	Arizona Health Care offers core, advance, and supervisor certification: 40 hrs. approved training + 80% on exam.	Lived experience requirement	Covered in-state plan under rehabilitative services
California	Peer Support Specialist	Counties certify according to state training standards by Sept 2021. 80 hrs. training + exam	Lived experience requirement	Covered under 1115 waiver program
Colorado	Peer and Family Specialist	Certification from providers' association + IC&RC, 60 hrs. training, 500 hrs. experience + 25 hrs. supervision	Lived experience requirement	1915(b)(3) waiver included in bundled services
Connecticut	Peer Recovery Support Specialist	80 hrs. of approved training, 500 hrs. + 25 hrs. supervision, certification by an RCO in the state	Lived experience requirement	Covered under 1115 waiver program
Delaware	Peer Recovery Specialist	Delaware Certification Board + IC&RC, 1000 hrs. + 25 hrs. supervision	Lived experience requirement	Covered under 1115 waiver program
District of Columbia	Peer Specialist	Certification: DC Dept of BH Health - IC&RC exam; 6-week course plus 80-hr. supervised practicum	Lived experience requirement	No
Florida	Peer Recovery Specialist	40 hrs. training by Florida Certification Board; 500 hrs. experience + 16 hrs. supervision	2 yrs.	Covered in-state plan under rehabilitative services
Georgia	Addiction Recovery Empowerme nt Specialist	40 hrs. training w/exam from Georgia Council on Substance Abuse	2 yrs.	Covered in-state plan under rehabilitative services
Hawaii	Hawaii Certified Peer Specialist	Hawaii Adult Mental Health Division with approved training	1 yr.	COD only under state plan rehabilitative services

State	Title	Certification	Time in Recovery?	Medicaid Authority
Idaho	Peer Recovery Coach	46 hrs. from Idaho Certification Board, IC&RC exam, 500 hrs. experience + 25 hrs. supervision	1 yr.	No
Illinois	Certified Peer Recovery Specialist	100 hrs. training from Illinois Certification Board + IC&RC exam, 2,000 hrs. experience + 100 supervision	2 yrs.	Covered under 1115 waiver program
Indiana	Certified Peer Addiction Recovery Coach I and II	Level I = 30 hrs. from MHA of NE Indiana + IC&RC exam, 500 hrs. + 25 supervision; Level II = 46 hrs. training	1 yr. for Ievel II	Covered under 1115 waiver program
lowa	Certified Peer Recovery Support Specialist	46 hrs. from ID Certification Board + IC&RC exam, 500 hrs. experience + 25 hrs. supervision	Lived experience requirement	1915(b)(3) waiver covered for expansion population
Kansas	Peer Mentor in Training Certified Peer Mentor	6 hrs. training; 15 hrs. for certification from Kansas Dept for Aging & Disability Services	1 yr.	Covered in-state plan under rehabilitative services
Kentucky	Adult Peer Support Specialist	60 hrs., training approved by Dept of Behavioral Health, IC&RC exam, 500 hrs. experience + 25 hrs. supervision.	2 yrs.	Covered in-state plan under rehabilitative services
Louisiana	Peer Support Specialist	76 hrs. training from Louisiana Dept. of Health, Office of BH + 80% on exam	1 yr.	No
Maine	Peer Recovery Coach	Maine Certification Board: 50-hr. CCAR recovery coach training + 500 hrs. experience, 25 hrs. supervised	Lived experience requirement	Covered in-state health homes plan
Maryland	Peer Recovery Specialist	46 hrs. from Maryland Certification Board, IC&RC exam, 500 hrs. experience + 25 hrs. supervision	2 yrs.	No
Massachusetts	Recovery Coach Recovery Support Navigator	Massachusetts Certification Board: 60-hr. CCAR recovery coach training + 500 hrs. experience, 25 hrs. supervised	Lived experience requirement	Covered under 1115 waiver program
Michigan	Peer Recovery Coach	Michigan Dept of Health & Human Services training w/exam, 10 hrs. a week providing recovery support services	2 yrs.	Covered in-state plan under rehabilitative services
Minnesota	Peer Recovery Specialist, Certified Peer Recovery Specialist Reciprocal	CPRS: 46 hrs. of training from Minnesota Cert. Board + C&RC exam CPRSR: 500 hrs. of work experience + 46 hrs. of training from Minnesota Cert. Board + 25 hrs. of supervision + IC&RC exam	No	Covered in-state plan under rehabilitative services

State	Title	Certification	Time in Recovery?	Medicaid Authority
Mississippi	Certified Peer Support Specialist	34 hr. training w/exam from Mississippi Dept of MH, 250 hrs. experience in the state MH system	6 mons.	Covered in-state plan under rehabilitative services
Missouri	Peer Specialist (2 higher levels)	Approved 5-day training w/exam from MO Cert. Board for level 1.	Lived experience requirement	1115 waiver and covered for Certified Community BH Clinics
Montana	Behavioral Health Peer Support Specialist	40 hrs. training from Montana Board of Behavioral Health w/exam.	2 yrs.	No
Nebraska	Peer Support Specialist	40 hrs. from Dept of Health & Human Services w/exam.	1 yr.	Covered in-state plan under rehabilitative services
Nevada	Peer and Recovery Support Specialist	46 hrs. from Nevada Certification Board + IC&RC exam, 500 hrs. experience + 25 hrs. supervision	Lived experience requirement	Covered in-state plan under rehabilitative services
New Hampshire	Recovery Support Worker	46 hrs. approved training from New Hampshire Licensing Board + IC&RC exam, 500 hrs. experience + 25 hrs. supervision	Lived experience requirement	Covered in-state plan under rehabilitative services
New Jersey	Peer Recovery Specialist	46 hrs. from New Jersey Certification Board + IC&RC, 500 hrs. + 25 hrs. supervision	Lived experience requirement	Covered for Certified Community BH Clinics
New Mexico	Peer Support Worker	40 hrs. from New Mexico Credentialing Board w/exam plus 40 hrs. 'pre-exposure' at approved agency.	2 yrs.	Covered under 1115 waiver program in managed care plans.
New York	Addiction Recovery Coach Recovery Advocate	Coach: 60 hrs. training approved by New York Certification Board; Advocate: 46 hrs. + IC&RC exam, 500 hrs. + 25 hrs. supervision	No	Covered under 1115 waiver program as part of HARP
North Carolina	Peer Support Specialist	60 hrs. approved by Div. of MH, Developmental Disabilities & Substance Abuse Services	1 yr.	1915(b)(3) waiver bundled service in managed care plans
North Dakota	Peer Support Specialist	North Dakota Division of MH & Substance Abuse training w/exams.	Lived experience requirement	No
Ohio	Peer Recovery Supporter	40 hrs. training or 3 yrs. experience + 16 hrs. online courses w/exam from Ohio MH & Addiction Services	Lived experience requirement	Covered in-state plan under rehabilitative services.
Oklahoma	Certified Peer Recovery Support Specialist	40 hrs. from Dept of MH & Substance Abuse Services w/exam	Lived experience requirement	Covered in-state plan under rehabilitative services

State	Title	Certification	Time in Recovery?	Medicaid Authority
Oregon	Addictions Recovery Mentor (2 other certifications)	40 hrs. training approved by Oregon Certification Board; Advanced-80 hrs. + 500 hrs.+ 25 supervision hrs. & exam	2 yrs. suggested	As bundled service in- state plan rehabilitative services
Pennsylvania	Recovery Specialist Family Recovery Specialist	54 hrs. + exam from Pennsylvania Certification Board; Family Recovery Specialist, 60 hrs. + exam	18 mons. suggested	Covered for Certified Community BH Clinics
Rhode Island	Peer Recovery Specialist	46 hrs. training approved by Rhode Island Certification Board + IC&RC exam, 500 hrs. experience + 25 hrs. supervision.	2 yrs.	Covered under 1115 waiver program
South Carolina	Peer Support Specialist	40 hrs. training approved by South Carolina Peer Support Specialist Certification Board + IC&RC exam + 100 hrs. experience	1 yr.	Covered in-state plan under rehabilitative services
South Dakota	Peer Specialist Services	South Dakota is in the process of developing a credential. Two SOR- funded programs offer peer services and training	N/A	No
Tennessee	Peer Recovery Specialist	40 hrs. training approved by Department of MH & Substance Abuse + IC&RC exam + 75 hrs. supervised experience	2 yrs.	Covered in-state plan under rehabilitative services
Texas	Recovery Support Peer Specialist	8 hrs. core training + 46 hrs. w/exam approved by Texas Health & Human Service Commission	1 yr.	State plan only as a bundled service
Utah	Peer Support Specialist	40 hrs. approved training w/ exam by Division of Substance Abuse & Mental Health	1 yr.	Covered in-state plan under rehabilitative services
Vermont	Recovery Coach	46 hrs. recovery coach training from Vermont Certification Board + IC&RC exam	1 yr.	Covered under 1115 waiver program
Virginia	Peer Recovery Specialist	72 hrs. of Virginia Department of BH Training IC&RC exam + 500 hrs. experience; 25 hrs. supervision	1 yr.	Covered in-state plan under rehabilitative services
Washington	Peer Counselor	40 hrs. training w/exam approved by the Division of BH & Recovery	1 yr.	Covered in-state plan under rehabilitative services
West Virginia	Peer Recovery Support Specialist	46 hrs. training approved by West Virginia Certification Board + IC&RC exam 500 hrs. + 25 hrs. supervision	2 yrs.	Covered under 1115 waiver program
Wisconsin	Peer Specialist	Training w/exam approved by Wisconsin Peer Specialist Employment Initiative	1 yr.	Covered in-state plan under rehabilitative services

State	Title	Certification	Time in Recovery?	Medicaid Authority
Wyoming	Peer Specialist	36 hrs. peer specialist training from Wyoming Dept of Health	1 yr.	Covered in-state plan under rehabilitative services

RESOURCE 3. ADDITIONAL RESOURCES

Best Practices for Successful Reentry for People Who Have Opioid Addictions https://csgjusticecenter.org/wp-content/uploads/2020/01/Best-Practices-Successful-Reentry-Opioid-Addictions.pdf

Collaboration and Partnership in the Community: Advancing the Michigan Prisoner ReEntry Initiative

https://nicic.gov/sites/default/files/022780.pdf

"How Peer Specialists Can Support Harm Reduction" https://www.mhanational.org/sites/default/files/HarmReductionSlides.pdf

Peer Specialists State Comparison Tool https://copelandcenter.com/peer-specialists

Recovery Capital Assessment Plan and Scale (ReCAPS) http://www.brauchtworks.com/yahoo_site_admin/assets/docs/Recovery_Capital_Assessment Plan_and_Scale - ReCAPS_160717.3200420.pdf

REC-CAP Assessment & Recovery Planning Tool http://www.recoveryoutcomes.com/rec-cap/

Reducing Homelessness for People with Behavioral Health Needs Leaving Prisons and Jails: Recommendations to California's Council on Criminal Justice and Behavioral Health <u>https://csgjusticecenter.org/wp-content/uploads/2021/02/Reducing-Homelessness-</u> <u>CA_Final.pdf</u>

The Role of Housing Supports in Reentry https://www.youtube.com/watch?v=RU0yDLxdMAE

State Directory of Peer Specialist and Recovery Specialist Credentialing https://c4innovates.com/brsstacs/BRSS-TACS_State-by-State-Directory-of-Peer-Recovery-Coaching-Training-and-Certification-Programs_8_26_2020.pdf

Wellness Recovery Action Plan https://mentalhealthrecovery.com

Whole Health Action Management https://www.thenationalcouncil.org/wp-content/uploads/2022/02/WHAM-One-Pager_new.pdf

Medication-Assisted Treatment for Opioid Use Disorder in Jails and Prisons: A Planning & Implementation Toolkit

https://www.thenationalcouncil.org/resources/medication-assisted-treatment-mat-for-opioiduse-disorder-in-jails-and-prisons-a-planning-and-implementation-toolkit/